

Meta-statements: [Seidel, Abouzaid - Seidel, Abouzaid - Ganatra]

- 1) In many cases,  $F(E, w)$  is easier to compute than  $F(E)$  (functors, exact sequences, etc).
- 2)  $M := W^{-1}(p)$ , there are relations between  $F(E, w)$  and  $F(M)$ , allowing us to
  - compute  $F(M)$
  - study monodromy  $\eta: M \rightarrow M$
- 3) Can use  $F(E, w)$  and  $F(E)$  as stepping stones to understand  $F(E)$  and  $W(E)$  (the wrapped Fukaya category).

30/03/16

## J-holomorphic curves & Lagrangian Floer homology, I.

Recall:  $(X^{2n}, \omega)$  symplectic.

Definitions: an almost complex structure  $J$  is  $J \in \text{End}(T\mathbb{R}^n)$  s.t.  $J^2 = -\mathbb{1}$ .

$J$  is compatible with  $\omega$  if  $\omega(-, J-)$  is a metric.

$J$  is tamed by  $\omega$  if  $\omega(v, Jv) > 0$  if  $v \neq 0$  ( $\Rightarrow \frac{\omega(v, Jv) + \omega(Jv, v)}{2}$  is a metric).

$\hookrightarrow$  open condition.

Rem: the entire theory we'll develop can be done for tame a.c.s. It is ex: for  $(X, \omega)$  a Kähler manifold,  $J: TX \rightarrow TX$  sometimes handy, as there exists more tame a.c.s.

induced is an integrable structure.

J-holomorphic curve: fix  $(X, J)$  almost complex manifold,  $(\Sigma, j)$  a Riemann surface (possibly with  $\partial$ , and with standard complex structure).

Definition:  $u: \Sigma \rightarrow X$  is J-holomorphic if  $du \circ j = J \circ du$

$\Leftrightarrow \bar{\partial}_J u := \frac{1}{2} (du + J \circ du \circ j) = 0$ . In local coordinates on  $\Sigma$ , s.t. this is equivalent to the usual Cauchy-Riemann equations  $\partial_{\bar{z}} u = J \partial_z u$ .

(\*)

⑥ (and a fixed one on  $\Sigma$ )

Given a metric  $g$ , get a metric on  $\text{Maps}(\Sigma, u^*X)$ , so we can define the energy of such a map:  $E(u) := \int_{\Sigma} |du|^2$ .

Proposition (identity energy): if  $\omega$  is symplectic,  $J$  compatible,

$g := \omega(-, J-)$  and  $u$  is  $J$ -holomorphic, then

$$E(u) = \int_{\Sigma} u^* \omega \quad (\text{exercise}).$$

$\hookrightarrow$  only depends on homotopy class of  $u \Rightarrow$  a priori controlled.

\* Rem: we might impose Lagrangian boundary conditions, namely  $u|_{\partial\Sigma}$  maps into Lagrangian submanifolds.

### Towards Lagrangian Floer homology:

Let  $L_0, L_1 \subseteq (X, \omega)$  Lagrangian submanifolds.

The Floer homology, formally, will be the Morse homology theory for a symplectic action functional on  $\mathcal{P}(L_0, L_1) = \{ \gamma: [0, 1] \rightarrow X \mid \gamma(0) \in L_0, \gamma(1) \in L_1 \}$ .

$$\hookrightarrow "A: \mathcal{P}(L_0, L_1) \rightarrow \mathbb{R}"$$

Recap: if  $f: M \rightarrow \mathbb{R}$  is a Morse function on  $M$  (smooth, finite dimensional),

we get  $H\mathbb{N}^*(f) = H^*(C\mathbb{N}^*(f), \delta)$  where  $C\mathbb{N}^*(f) = \bigoplus_{p \in \text{crit}(f)} \mathbb{C}\langle p \rangle$

and  $\delta$  counts flowlines of  $\nabla f$  (w.r.t. some  $g$ ) between critical

points  $p$  and  $q$ . A flowline is a map  $\gamma: \mathbb{R} \rightarrow M$  st  $\dot{\gamma} = \nabla f$ ,

$$\lim_{s \rightarrow -\infty} \gamma(s) = p, \quad \lim_{s \rightarrow +\infty} \gamma(s) = q.$$

Actually, it turns out that in general, only  $d_A$  is well-defined (which is good enough to deal with  $\nabla A$ ). We have

$$A: \tilde{\mathcal{P}}(L_0, L_1) \rightarrow \mathbb{R} : (\gamma, [u]) \mapsto \int u^* \omega$$

$\hookrightarrow$  univ. cover: elements are  $(\gamma, [u])$ , where  $u: [0, 1]^2 \rightarrow X$

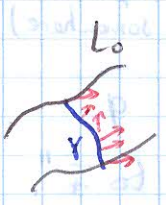
is a path in  $\mathcal{P}(L_0, L_1)$  from  $*$  (a base point) to  $\gamma$ .

$\hookrightarrow$  we assume  $\mathcal{P}(L_0, L_1)$  is connected.

Rem: there are cases in which  $A: P(L_0, L_1) \rightarrow \mathbb{R}$  is defined.

ex:  $(X, \omega)$  exact if  $\omega = d\lambda$  for some  $\lambda$  (need  $X$  non-compact for that; eg  $\mathbb{C}^n$  with  $\omega_{std}$ ). Fixing  $\lambda$ , we say that  $L \subset X$  is exact if  $\lambda|_L = df$ , for  $f: L \rightarrow \mathbb{R}$ . We often, in this case, fix  $f$  as before, and call  $(L, f)$  an exact Lagrangian for  $(X, \lambda)$ .

Exercise: if  $(L_0, f_0)$  and  $(L_1, f_1)$  are exact Lagrangians in  $(X, \lambda)$  exact symplectic, then  $A(y, \Omega) = \tilde{A}(y) := \int_{[0,1]} y^* \lambda \pm f_1(y(1)) \mp f_0(y(0))$ .



Define  $T_y P(L_0, L_1) = \{ \text{vector fields on } y \text{ keeping the end points on } L_0 \text{ and } L_1 \}$   
 $= \{ \text{vector fields } v \text{ on } y^*TX \text{ s.t. } v(0) \in T_{y(0)}L_0, v(1) \in T_{y(1)}L_1 \}$ .

Given  $(y, \Omega)$ , note that using variational calculus,

$$dA(y) \cdot v \underset{v \in T_y P(L_0, L_1)}{=} \int_{[0,1]} \omega(y, v) dt \stackrel{\text{fix } J}{=} \int_{[0,1]} g(Jy, v) dt = \langle Jy, v \rangle_{L^2} \quad \left( \begin{array}{l} \text{using } g \end{array} \right)$$

(Point here is that a choice of  $J$  induces a metric on  $T_y P(L_0, L_1)$ )  
via  $\langle v_0, v_1 \rangle_{L^2} := \int_{[0,1]} \omega(v_0, Jv_1) dt$ .

$$\Rightarrow \nabla A_y = -Jy$$

Rem:  $dA(y)$  could have a priori depended on  $\Omega$ , but we see that it doesn't.

Hence: • Critical points of  $A \iff y = 0$ , i.e. constant paths

$\iff$  intersection points  $p \in L_0 \cap L_1$ .

• Gradient trajectories = "J-hol maps":  $y_\# : \mathbb{R}_s \rightarrow P(L_0, L_1)$

with  $\frac{\partial y}{\partial s} = -Jy = -J \partial_t y$  (which is the Cauchy-Riemann equation).

It ends up being rather difficult to define  $\infty$ -dimensional ( $P(L_0, L_1)$  is infinite dimensional) Morse theory directly using variational methods, even in this instance. For instance,

(1) In Morse theory, an important notion is the  $\text{index}_g(p)$ , which is

the "number of negative eigenvalues of  $\text{Hess}(f)$  at  $p$ ". At a critical point of  $A$ , the index in this sense is  $\infty$  (there are  $\infty$  many  $+$  and  $-$  eigenvalues).

(2) The gradient flow of  $\nabla A$  is not well-defined:  $\nabla A_t$  is generally not even tangent to  $L_0$  and  $L_1$ .

[Floer]: we can still make sense of

- gradient flow lines, thought of as solutions to a PDE, instead of the gradient flow equation for  $A$ . (so we think of the entire flow line, instead of taking a point and moving it somewhere)
- a relative index, depending on a choice of path between  $p$  and  $q$  (or homotopy class thereof): "# eigenvalues that cross from  $\pm$  to  $\mp$ ".

### Actual setup:

Say  $L_0 \cap L_1$ , for  $L_0, L_1 \in (X, \omega)$  Lagrangians.

Define  $\Lambda$  to be our base field, and  $T \in \Lambda$  a choice of element. In our setting, most generally,  $\Lambda = \text{Novikov field over } k = \mathbb{C}$ , and  $T$  is just  $T$ .

In nice cases, we might have  $\Lambda = \mathbb{C}((T))$  with  $T$  the formal variable, or even  $\Lambda = \mathbb{C}$  with  $T=1$ .

Floer complex:  $CF^*(L_0, L_1) := \Lambda^{|L_0 \cap L_1|}$  the free  $\Lambda$ -module generated by  $L_0 \cap L_1$ .

Goal: define  $\partial: CF^*(L_0, L_1) \rightarrow CF^*(L_0, L_1)$  by counting  $J$ -holomorphic discs.

Specifically, look at  $u: (\mathbb{R} \times [0, 1])_t^j \rightarrow X$  equipped with an a.c.s.  $J$ , such that

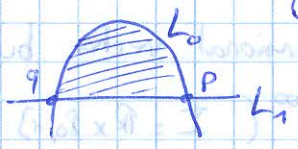
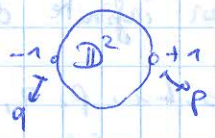
$$(*) \left\{ \begin{array}{l} 1) \bar{\partial}_J u = \frac{1}{2} (du + J \circ du \circ j) = 0 \\ 2) u(s, 0) \in L_0, u(s, 1) \in L_1 \\ 3) \lim_{s \rightarrow +\infty} u(s, t) = p \in L_0 \cap L_1 \text{ (cst path at } p) \\ 4) \lim_{s \rightarrow -\infty} u(s, t) = q \end{array} \right. \quad (5) E(u) = \int_{\mathbb{R} \times [0, 1]} | \frac{\partial u}{\partial s} |^2 < \infty$$

Such a  $u$  is called a "J-holomorphic strip"

**Proposition:** 1), 2) and 5) imply that at  $\pm\infty$ ,  $u$  (exponentially) converges to some elements of  $L_0 \cap L_1$ .

Note  $\mathbb{R} \times [0,1] \cong \mathbb{D}^2 \setminus \{\pm 1\}$ , so we can think of these as

maps from



Define  $\mathcal{M}(p, q, [u], \mathcal{J}) = \{u \text{ solutions to } (*) \text{ in homotopy class } [u]\}$   
 $\pi_2(\mathbb{R}; L_0, L_1)$

$$\text{and } \mathcal{M}(p, q, \mathcal{J}) = \coprod_{\beta \in \pi_2(\dots)} \mathcal{M}(p, q, \beta, \mathcal{J})$$

We want to do something like "count rigid elements of  $\mathcal{M}(p, q, \mathcal{J})$ ", but there are not enough interesting rigid objects: given any non-constant  $u$  solving  $(*)$  and any  $\lambda \in \mathbb{R}$ ,  $\tilde{u}(s, t) := u(s + \lambda, t)$  also solves  $(*)$ . So we get a free  $\mathbb{R}$  action on non-constant parts of  $\mathcal{M}(p, q, \mathcal{J})$ .

Instead, we want to define, for  $p \in CF^*(L_0, L_1)$  the generator corresponding to  $p \in L_0 \cap L_1$ ,

$$\begin{aligned} \partial(p) &= \sum_{\substack{q \in L_0 \cap L_1 \\ u \in \mathcal{M}(p, q, \mathcal{J})/\mathbb{R} \text{ rigid}}} \text{sgn}(u) \cdot T^{w(u)} \cdot q \\ &= \sum_{q, \beta \in \pi_2(\dots)} \# \left( \frac{\mathcal{M}(p, q, \beta, \mathcal{J})}{\mathbb{R}} \right) \cdot T^{\int \beta^* \omega} \cdot q \end{aligned}$$

Issues: \* what does it mean to count the number of elements solving a PDE on an  $\infty$ -dimensional manifold?

work for  $\mathbb{R}^2$  and  $L_1$

- \* why is that count finite, well-defined, etc? (for generic  $\mathcal{J}$ )
- \* why does  $\partial^2 = 0$ ? (for generic  $\mathcal{J}$ )
- \* why  $HF^*(L_0, L_1) = H^*(CF^*(L_0, L_1), \partial)$  independent of choice of generic  $\mathcal{J}$ ?
- \* how to define  $\text{sgn}(u)$ ?

The analytic theory eventually will say that  $\mathcal{M}(p, q, \beta, \mathcal{J})/\mathbb{R}$  is an orientable manifold of a certain finite dimension (when 0-dimensional, a choice of orientation is a sign at each point).

We want to see " $\mathcal{M}$  solves a Fredholm problem". We can write  $\mathcal{M}(p, q, \beta, \mathcal{J}) = \overline{\mathcal{D}}_{\mathcal{J}}^{-1}(0)$  where  $\overline{\mathcal{D}}_{\mathcal{J}} : \mathcal{D} \rightarrow \mathcal{E}$  is a section of an infinite dimensional vector bundle over an infinite dimensional manifold

$\mathcal{D} := C^{\infty}(\Sigma = \mathbb{R} \times I_0, 1), \Pi; L_0, L_1, p, q, \beta)$ . The fiber of  $\mathcal{E}$  over  $u \in \mathcal{D}$  is  $\mathcal{E}_u := C^{\infty}(\mathbb{R} \times I_0, 1), \Omega_{\Sigma}^{0,1} \otimes u^*TX$  suppressing boundary/asymptotic conditions that should be here

It turns out that  $\overline{\mathcal{D}}_{\mathcal{J}}$  is an elliptic operator, which for our purposes means that on suitable Sobolev completions

$\mathcal{D}_{k,p}^{\infty} := W^{k,p}(\Sigma, X, \text{asymptotics/boundary})$  "Banach manifold"  
 $\mathcal{E}_u^{k,p} := W^{k,p}(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^*TX, \dots)$  "Banach vector bundle"

we have  $\overline{\mathcal{D}}_{\mathcal{J}} : \mathcal{D}_{k+1,p}^{\infty} \rightarrow \mathcal{E}_u^{k,p}$ , and its linearization  $D_{\overline{\mathcal{D}}_{\mathcal{J}}}^u$  is Fredholm, meaning it has finite dimensional kernel and cokernel

**Definition:**  $u \in \mathcal{M}(p, q)$  is regular if  $D_{\overline{\mathcal{D}}_{\mathcal{J}}}^u$  is onto (cokernel is empty).

In this case, a version of usual transversality theory tells us that  $\overline{\mathcal{D}}_{\mathcal{J}}^{-1}(0)$  is a (locally) finite dimensional manifold with dimension =  $\dim \ker D_{\overline{\mathcal{D}}_{\mathcal{J}}}^u$