

$X$  a space,  $p, q \in X$ .

Recall a path  $\gamma$  from  $p$  to  $q$  is a continuous map  $\gamma: [0, 1] \rightarrow X$  with  $\gamma(0) = p, \gamma(1) = q$ .

Def: Two paths  $\gamma_0, \gamma_1$  from  $p$  to  $q$  are homotopic (rel. endpoints) if there is a continuous map

(\*)  $H: [0, 1] \times [0, 1] \rightarrow X$  Note:  $H$  is called a homotopy from  $\gamma_0$  to  $\gamma_1$ .

path param.  $s$       time param.  $t$

satisfying:  $H(0, t) = p, H(1, t) = q, H(s, 0) = \gamma_0(s), H(s, 1) = \gamma_1(s)$ .

Put another way if there is a continuous  $H$  as in (\*), such that, if we call

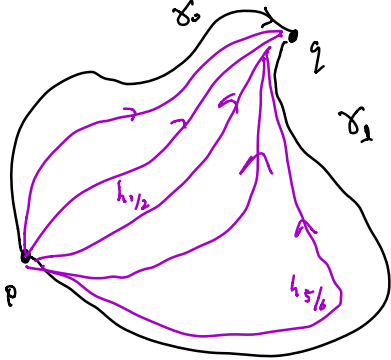
$$h_t = H(-, t): I \rightarrow X,$$

then each  $h_t$  is a path from  $p$  to  $q$

and  $h_0 = \gamma_0, h_1 = \gamma_1$ .

Sometimes we use  $\{h_t\}_{t \in [0, 1]}$  to refer to the homotopy; but it's very important  $H$  be continuous!

Pictorially:



Write  $\gamma_0 \simeq \gamma_1$  if  $\gamma_0, \gamma_1$  are homotopic paths (rel. endpoints).

Len (w/o proof): For any  $p, q$ ,

(i)  $\simeq$  is an equivalence relation on the set of paths from  $p$  to  $q$ .

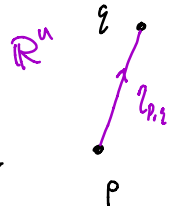
(e.g., if  $\gamma_0 \simeq \gamma_2$  &  $H$  is a homotopy from  $\gamma_0$  to  $\gamma_2$ , w/  $H(-, t) = h_t$ , then  $(s, t) \mapsto H(s, 1-t)$  is a homotopy  $\gamma_2$  to  $\gamma_0$ , so  $\gamma_2 \simeq \gamma_0$  too).  
e.g.:  $h_{1-t}$

Example: (i)  $X = \mathbb{R}^n, \vec{p}, \vec{q} \in X$  any two points.

Then, all paths  $\vec{\gamma}_0, \vec{\gamma}_1$  from  $\vec{p}$  to  $\vec{q}$  are homotopic (rel endpoints).

Pf: We'll just show any path  $\vec{\gamma}$  is htpic to the straight-line path  $\vec{\eta}_{p,q}(s) = s\vec{q} + (1-s)\vec{p}$

note that  $H(s, t) = t\vec{\gamma}(s) + (1-t)\vec{\eta}_{p,q}$



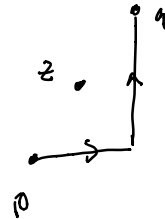
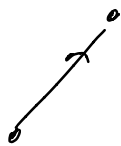
is (a) continuous (b)  $H(0,t) = t\vec{\gamma}(0) + (1-t)\vec{\eta}_{p,q}(0) = t\vec{p} + (1-t)\vec{p} = \vec{p}$

(c)  $H(1,0) = \vec{\eta}_{p,q}$  (d)  $H(1,t) = \vec{\gamma}$   
 " " " " " " " "

(2)  $X = \mathbb{R}^n$ ,  $z$  any point,  $p, q$  any other two points not equal to  $z$ ,  $n > 1$   
 Then, any path  $\gamma$  from  $p \rightarrow q$  is homotopic (rel. endpoints) to a path in  $\mathbb{R}^n$  which avoids  $z$  (doesn't have  $z$  in image).

[False  $n=1$ . Ex  $z=0 \in \mathbb{R}$ . any path  $-1 \rightarrow 1$  has to pass thru  $0$ ].

Sketch: (a)  $\exists$  a path  $\tau$  from  $p$  to  $q$  avoiding  $z$ . (we show this either in class: if straight line path avoids  $z$ , done, otherwise use a "step" path.)



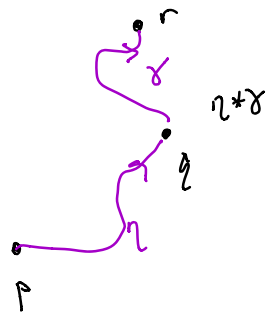
(b)  $\tau$  is homotopic to  $\gamma$  by (1).

Concatenation:

If  $\eta: [0,1] \rightarrow X$  is a path from  $p$  to  $q$  and  $\gamma: [0,1] \rightarrow X$  is a path from  $q$  to  $r$ , then can define a new path from  $p$  to  $r$ , the concatenation

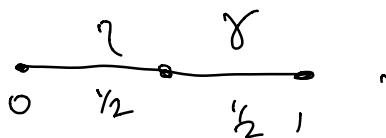
$$\eta * \gamma: [0,1] \longrightarrow X$$

$$\eta * \gamma(t) = \begin{cases} \eta(2t) & 0 \leq t \leq 1/2 \\ \gamma(2t-1) & 1/2 \leq t \leq 1 \end{cases}$$



(why continuous? pasting lemma)

"follow  $\eta$ , then  $\gamma$ , each at double speed"



Constant paths: There is a canonical path from  $p$  to  $p$ , called the constant path at  $p$ .

$$c_p = [0,1] \longrightarrow X$$

$$t \longmapsto p.$$

Reversing paths: Given a path  $\gamma: [0,1] \longrightarrow X$  from  $p$  to  $q$ , the reverse of  $\gamma$

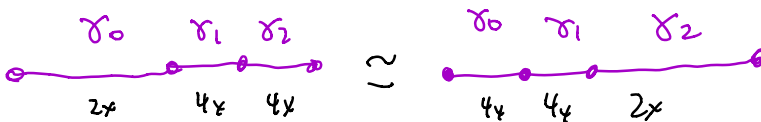
$$\bar{\gamma}: [0,1] \longrightarrow X, \text{ is a path from } q \text{ to } p. \quad (\text{Why is it continuous if } \gamma \text{ is?})$$

$$t \longmapsto \gamma(1-t)$$

key Properties of these operations (w/o proof): Recall  $\simeq$  means "are homotopic rel. endpoints."

(2) • For any triple  $\gamma_0, \gamma_1, \gamma_2$  of a path from  $p \rightarrow q$ , from  $q \rightarrow r$ , and from  $r \rightarrow s$  respectively,

$$\gamma_0 * (\gamma_1 * \gamma_2) \simeq (\gamma_0 * \gamma_1) * \gamma_2.$$

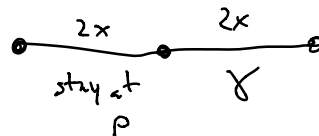
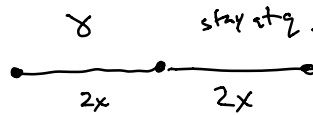


(3) • If  $\gamma$  is a path from  $p$  to  $q$ , then

$$\gamma * c_q \simeq \gamma$$

↑  
const. path at  $q$

$$c_p * \gamma \simeq \gamma$$



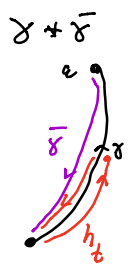
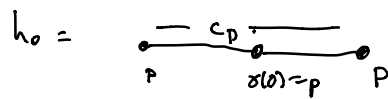
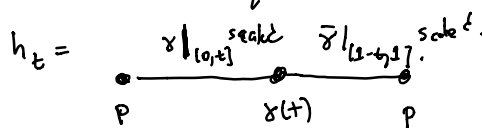
(4) • If  $\gamma$  any path from  $p$  to  $q$ , &  $\bar{\gamma}$  its reverse, then

$$\gamma * \bar{\gamma} \simeq c_p.$$

↑  
a path  $p$  to  $p$

$$\text{and } \bar{\gamma} * \gamma \simeq c_q$$

↑  
a path  $q$  to  $q$ .



(5) If  $\gamma_0 \simeq \gamma_1$ , then  $\gamma_0 * \eta \simeq \gamma_1 * \eta$ , and  $\tau * \gamma_0 \simeq \tau * \gamma_1$ .

(6)  $f: X \rightarrow Y$  any continuous map, and  $\gamma, \eta$  paths from  $p$  to  $q$  &  $q$  to  $r$ ,  
 then  $f \circ \gamma, f \circ \eta$  are paths from  $f(p)$  to  $f(q)$  &  $f(q)$  to  $f(r)$ .

$$\& f \circ (\gamma * \eta) = (f \circ \gamma) * (f \circ \eta).$$

(7) If  $\gamma_0 \simeq \gamma_1$ , then  $f \circ \gamma_0 \simeq f \circ \gamma_1$ .

Def:  $X$  space,  $p \in X$  point.

•  $\pi_1(X, p) := \{ \text{paths } \gamma \text{ from } p \text{ to } p \} / \simeq$  homotopy equiv. rel. endpoints.

$$\cdot \ast: \pi_1(X, p) \times \pi_1(X, p) \rightarrow \pi_1(X, p)$$

$$[\gamma] \ast [\eta] := [\gamma * \eta]. \quad \text{check: } \bullet \text{ well-defined (8)}$$

Thm:  $\pi_1(X, p)$  is a group; meaning

•  $\ast$  is associative (from (2))

• there is an identity element  $e$  (so  $e \ast g = g \ast e = g \forall g$ ):

$$e = [c_p] \text{ works by (3).}$$

• there are inverses: for each  $\alpha \in \pi_1(X, p)$ ,  $\exists \alpha^{-1}$  w/  $\alpha \ast \alpha^{-1} = \alpha^{-1} \ast \alpha = e$ .

If  $\alpha = [\gamma]$ , then  $\alpha^{-1} = [\bar{\gamma}]$  works.

Thm:  $f: X \xrightarrow{\text{cont.}} Y$  induces a group homomorphism

$$f_*: \pi_1(X, p) \rightarrow \pi_1(Y, f(p))$$

$$[\gamma] \longmapsto [f \circ \gamma]$$

(well-defined?) (sends  $e$  to  $e$ ?)  
 $(f_*(g \ast h) = f_*(g) \ast f_*(h))$ ?

Use (6) + (7).

$$\& \underline{f_* \circ g_* = (f \circ g)_*} \quad (9)$$

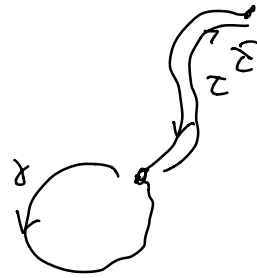
Cor: If  $f: X \xrightarrow[\text{homeo}]{\cong} Y$ , then  $f_*: \pi_1(X, p) \xrightarrow[\text{group iso.}]{\cong} \pi_1(Y, f(p))$ . [Invariance].

Thm: If  $p, q$  in the same path component then  $\pi_1(X, p) \xrightarrow[\text{iso.}]{\cong} \pi_1(X, q)$ .

Pf: Let  $\tau$  be any path  $p$  to  $q$ .

Define  $h([\gamma])$  by  $[\tau * \gamma * \tau^{-1}]$ .  
 $q \rightarrow p \rightarrow p \rightarrow q$ .

check  $h$  is a group isomorphism



In  $\mathbb{R}^n$ , any path  $p$  to  $p$  is homotopic to the constant path  $c_p$ .

so  $\pi_1(\mathbb{R}^n, p) = \{e\}$ .

any  $p$

we'll drop basepoint notation,

but use basepoint  $(1, 0)$ .

Recall  $S^n = \{x_1^2 + \dots + x_{n+1}^2 = 1\} \subset \mathbb{R}^{n+1}$ .

Claims: (1)  $\pi_1(S^1) \cong (\mathbb{Z}, +)$ .

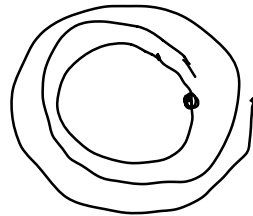
(2)  $\pi_1(S^n) = \{e\}$ . (on HW).

$n > 1$

In case (1), for each  $n \in \mathbb{Z}$ , here is a representative of a path from  $(1, 0)$  to  $(1, 0)$ :

"wind  $n$ -times counterclockwise"

$\gamma_n : [0, 1] \rightarrow S^1$   
 $t \mapsto (\cos 2\pi n t, \sin 2\pi n t)$

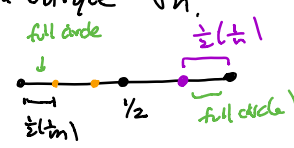


Note:  $\gamma_0 = \text{Const}_{(1,0)}$ .

Have to check: (i) each path from  $(1, 0)$  to  $(1, 0)$  is homotopic to a unique  $\gamma_n$ .

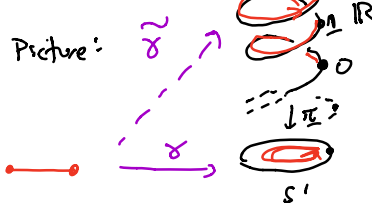
(b)  $\gamma_m * \gamma_n \simeq \gamma_{m+n}$ .

(exercise: note  $\gamma_m * \gamma_n$  and  $\gamma_{m+n}$  = fill circle)



Consider  $\mathbb{R} \xrightarrow[\cos 2\pi\theta, \sin 2\pi\theta]{\pi} S^1$ .  
 $0 \mapsto (1, 0) \leftrightarrow "1"$   
 $n \in \mathbb{Z} \mapsto$

First example of what we call a "covering space."



Fact: (exercise):

(1) Given a path  $\gamma: [0,1] \rightarrow S^1$  with both endpoints at "1", there is a unique lift of  $\gamma$ ,  $\tilde{\gamma}: [0,1] \rightarrow \mathbb{R}$  (meaning  $\pi \circ \tilde{\gamma} = \gamma$ ), with  $\tilde{\gamma}(0) = 0$ .

(Know  $\tilde{\gamma}(0)$  projects to 0, so  $\tilde{\gamma}(0), \tilde{\gamma}(1)$ )

(2)  $\gamma_0$  and  $\gamma_1$  are homotopic rel. endpoints iff their lifts  $\tilde{\gamma}_0$  and  $\tilde{\gamma}_1$  are.

Proof of (1): Note that given any  $\gamma: [0,1] \rightarrow S^1$  path from "1" to "1":

$\exists$  a unique lift  $\tilde{\gamma}$  path from 0 to some <sup>other</sup> preimage  $n \in \mathbb{Z}$  of "1".

Up to homotopy,  $\exists!$  path from 0 to  $n$  in  $\mathbb{R}$ ,  $\tilde{\gamma}_n$ ; so  $\tilde{\gamma}$  is homotopic to  $\tilde{\gamma}_n$ ;

so by (2),  $\gamma$  is homotopic to  $\gamma_n$ .

Next, note that  $\gamma_n$  are all non-homotopic (rel. endpts.) b/c  $\tilde{\gamma}_n$  are.

$$\text{So, as sets, } \pi_1(S^1) \cong \mathbb{Z}.$$
$$[\gamma_n] \longleftarrow n$$

Exercise: prove this as groups.