

Last time: When is there an embedding  $M^m \hookrightarrow \mathbb{R}^N$ ?

Today: show one exists for  $N \gg 0$ , then later study question of reducing  $N$ .

Technical tool:

Partitions of unity - A collection of smooth functions  $\{\psi_\alpha: M \rightarrow \mathbb{R}_{\geq 0}\}_{\alpha \in I}$  is a (differentiable) partition of unity if:

(a)  $\text{supp } \psi_\alpha$  is compact for all  $\alpha$ .

(b) The collection of supports  $\{\text{supp}(\psi_\alpha) = \overline{\{x \in M \mid \psi_\alpha(x) \neq 0\}}\}_{\alpha \in I}$  is locally finite (meaning for every  $p \in M$ , some neighborhood  $U \ni p$  intersects only finitely many supports).

(c)  $\psi_\alpha(p) \geq 0$  for every  $p, \alpha$ , and

$$\sum_{\alpha \in I} \psi_\alpha(p) = 1 \text{ for every } p \text{ (i.e., } \sum_{\alpha \in I} \psi_\alpha \equiv 1).$$

*by (b) only finitely many non-zero  $\psi_\alpha(p)$ 's for any  $p$ , so sum makes sense, and is smooth.*

If  $\{U_\beta\}_{\beta \in J}$  is an open cover of  $M$ , we say  $\{\psi_\alpha\}_{\alpha \in I}$  is subordinate to  $\{U_\beta\}$

if for every  $\alpha \exists \beta \text{ supp } \psi_\alpha \subseteq U_\beta$ .

$\&$  say  $\{\psi_\alpha\}_{\alpha \in I}$  subordinate to  $\{U_\alpha\}_{\alpha \in I}$  with same index if  $\text{supp } \psi_\alpha \subseteq U_\alpha$  for all  $\alpha \in I$ .

Prop: If  $\{U_\alpha\}$  any open cover of a manifold  $M$ , then  $\exists$  a partition of 1 subordinate to  $U_\alpha$ .

Proof: in stages: (we'll prove in special case  $M$  is compact for simplicity).

Step 1: Consider  $f: \mathbb{R} \rightarrow \mathbb{R}$   $f(x) = \begin{cases} e^{-1/x} & x > 0 \\ 0 & x \leq 0 \end{cases}$

easy:  $f$  is  $C^\infty$ .



Step 2: Take  $g_{ab}: \mathbb{R} \rightarrow \mathbb{R}$  as  $g_{ab}(x) = f(x-a) \cdot f(b-x)$  ( $a < b$  real #'s)

$\Rightarrow g$  is a bump function, meaning  $\bullet g \geq 0$ ,  $\bullet \text{supp}(g) = [a, b]$ ,  $\bullet g > 0$  on  $(a, b)$ .

Step 3: Consider a bump function supported on

$$(*) = [a_1, b_1] \times \dots \times [a_n, b_n] \subset \mathbb{R}_{x_1 \rightarrow x_n}^n.$$

$$\text{defined by } \psi(\vec{x}) = g_{a_1 b_1}(x_1) \cdot g_{a_2 b_2}(x_2) \cdot \dots \cdot g_{a_n b_n}(x_n).$$

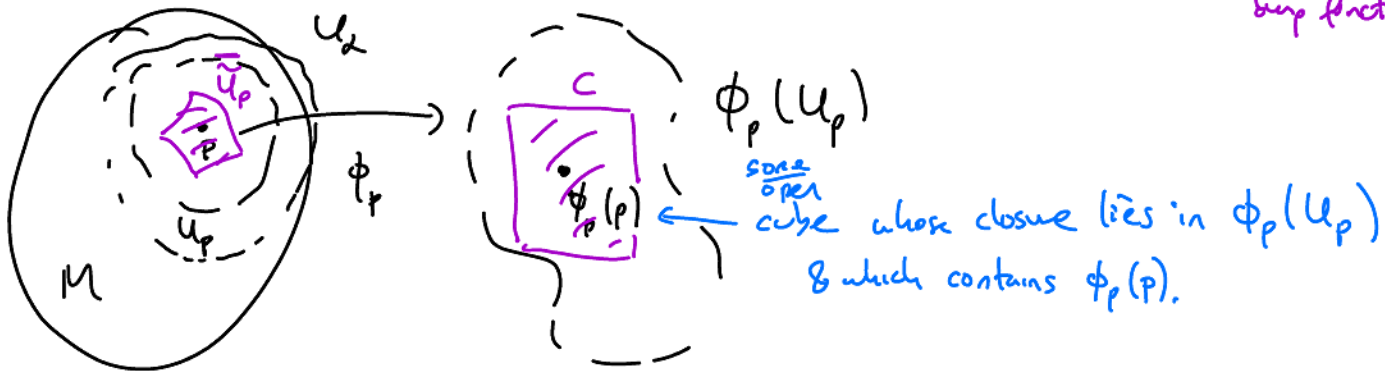
$\Rightarrow \psi$  supported on  $(*)$ , positive on interior.

Step 4: (assume  $M$  is compact for simplicity).

For each  $p \in M$ , choose a chart  $(U_p, \phi_p)$  around  $p$  contained in some  $U_\alpha$ , and let  $\tilde{U}_p$  be preimage of (interior of) a cube  $C$  containing  $\phi_p(p)$  in  $\phi_p(U_p)$ .

$\Rightarrow$  Get a function  $\psi_p$  on  $U_p$  with support in  $\tilde{U}_p \subseteq U_p$  ( $\psi_p := \psi \circ \phi_p$ ).

$\uparrow$   
bump function for  $C$ .



observe:  $\psi_p$  extends by 0 to a smooth function  $\psi_p: M \rightarrow \mathbb{R}$ .

$\&$   $\text{supp } \psi_p \subseteq \text{some } U_\alpha$ .

The  $\{\tilde{U}_p\}_{p \in M}$  cover  $M$ , so  $\exists$  a finite subcover  $\tilde{U}_{p_1}, \dots, \tilde{U}_{p_k}$  by compactness.

Consider the functions  $\{\psi_{p_i}\}_{i=1}^k$ . Note that  $\sum_{i=1}^k \psi_{p_i} > 0$  at every point  $p$ .

Now, let  $\tilde{\psi}_{p_i} = \frac{\psi_{p_i}}{\sum_{j=1}^k \psi_{p_j}}$ . Then  $\sum \tilde{\psi}_{p_i} = 1$ . So  $\{\tilde{\psi}_{p_i}\}_{i=1}^k$  is a partition

of unity subordinate to  $\{U_\alpha\}$ .

Application I:

Thm: If  $M$  is compact of dimension  $n$ ,  $\exists$  a number  $N$  and an embedding

$$f: M \hookrightarrow \mathbb{R}^N.$$

Pf: Let  $\{U_i, \phi_i\}_{i \in I}$  some atlas for  $M$  (in given smooth structure),  
and  $\{\psi_j: M \rightarrow \mathbb{R}\}_{j \in J}$  partition of unity subordinate to  $\{U_i\}_{i \in I}$ .

WLOG b/c  $M$  is cpt. assume  $I, J$  finite, say  $J = \{1, \dots, k\}$ .  
by def'n, for each  $j \in J$   $\text{supp } \psi_j \subset U_{i_j}$

Now let  $N = k + k \cdot m$  and define

$$f: M \hookrightarrow \mathbb{R}^N \text{ by}$$

$$f(x) = (\underbrace{\psi_1(x)}_{\in \mathbb{R}}, \underbrace{\psi_2(x)}_{\in \mathbb{R}}, \dots, \underbrace{\psi_k(x)}_{\in \mathbb{R}}, \underbrace{\psi_1(x)\phi_{i_1}(x)}_{\in \mathbb{R}^m}, \underbrace{\psi_2(x)\phi_{i_2}(x)}_{\in \mathbb{R}^m}, \dots, \underbrace{\psi_k(x)\phi_{i_k}(x)}_{\in \mathbb{R}^m})$$

$$\phi_{i_1}: U_{i_1} \rightarrow \mathbb{R}^m.$$

$$\& \psi_1 \phi_{i_1}: U_{i_1} \rightarrow \mathbb{R}^m.$$

extends smoothly by 0

to all of  $M$ . Meaning define  $\psi_1 \phi_{i_1}(y) = 0$  if  $y \in U_{i_1}$ .

(exercise: check).

Claim 5 (1)  $f$  is injective:

say  $x, y \in M, x \neq y$ . want  $f(x) \neq f(y)$

• if  $x, y \in$  same  $U_j$ , and  $\psi_j(x) = \psi_j(y)$  done (b/c  $\phi_{i_j}(x) \neq \phi_{i_j}(y)$ )  
and if  $\psi_j(x) \neq \psi_j(y)$  then done too. for  $x \neq y!$

• If  $x, y \in$  different  $U_j$ 's, then can find  $\psi_i, \psi_j$  with

$$\psi_i(x) > 0$$

$$\psi_i(y) = 0$$

$$\& \psi_j(x) = 0$$

$$\psi_j(y) > 0.$$

$\Rightarrow$  done.

(2)  $f$  is an immersion. (exercise, reduce to the fact that each  $d\phi_i$  is an immersion where defined).

Q: How much can we reduce  $N$ ?

Thm: (Whitney embedding theorem):  $\exists$  an embedding  $M^m \hookrightarrow \mathbb{R}^{2m+1}$

Idea: we'll argue given a fixed  $M^m \hookrightarrow \mathbb{R}^N$  if  $N > 2m+1$ , "most" <sup>composing with</sup> linear projections"  $\mathbb{R}^N \rightarrow \mathbb{R}^{N-1}$  preserve embedding property.

$\uparrow$  "Sard's theorem".