

# Universal coefficient theorems for homology and cohomology

Last time:  $X$  top. space  $\xrightarrow{\text{last sen.}} C_*(X)$  singular homology chain complex (w/  $\mathbb{Z}$ -coefficients).

$$\left\{ \begin{array}{c} \cdots \rightarrow C_n(X) \xrightarrow{\partial_n} C_{n-1}(X) \xrightarrow{\partial_{n-1}} \cdots \rightarrow C_0(X) \rightarrow 0 \end{array} \right\}$$

$\uparrow$   
boundary operators

chain complex:  $\partial_{n-1} \circ \partial_n = 0$

$\left( \rightsquigarrow \text{singular homology } H_n(X) := \frac{\ker \partial_n}{\text{im } \partial_{n+1}} \right)$

From this, (rather than immediately take homology) we can form

the singular co-chain group w/  $G$ -coefficients ( $G$  any abelian group):

$$C^i(X; G) := \text{Hom}_{\mathbb{Z}}(C_i(X), G) \quad (\text{could take } G = \mathbb{Z}, \text{ or something else}),$$

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"Hom of Abelian groups =  $\mathbb{Z}$ -modules"

and the singular co-chain complex w/  $G$ -coeffs.

$$C^*(X; G) = \left[ \cdots \xleftarrow{\delta} C^n(X; G) \xleftarrow{\delta} C^{n-1}(X; G) \xleftarrow{\delta} \cdots \xleftarrow{\delta} C^0(X; G) \xleftarrow{\delta} 0 \right]$$

$\text{Hom}_{\mathbb{Z}}(C_i(X), G)$  where  $\delta_i = \partial_{i+1}^* = (-) \circ \partial_{i+1} : C^i(X; G) \rightarrow C^{i+1}(X; G)$  for all  $i$ .

Again we have  $\delta \circ \delta = 0$  (called a co-chain complex),

$\leftarrow$  added like differential increases degree

and can take  $H^i(X; G) := \frac{\ker \delta^i : C^i(X; G) \rightarrow C^{i+1}(X; G)}{\text{im } \delta^{i-1} : C^{i-1}(X; G) \rightarrow C^i(X; G)}$ .

Remarks: • For a pair  $(X, A)$  define  $C^*(X, A; G)$  by taking  $\text{Hom}_{\mathbb{Z}}(C_*(X, A); G)$ .

(made last class)

•  $C_i(X) := \bigoplus_{\sigma: \Delta^i \rightarrow X} \mathbb{Z} \langle \sigma \rangle$ ,  $\dots$ ,  $C_i(X) = \text{Free}(\text{Sing}^i(X))$

$\leftarrow$  set of all singular simplices  $\sigma: \Delta^i \rightarrow X$ .

$$\begin{aligned} \text{So } C^i(X; G) &= \text{Hom}_{\mathbb{Z}}(C_i(X), G) \\ &= \text{Hom}_{\mathbb{Z}}(\text{Free}(\text{Sing}^i(X)), G) \\ &= \text{Maps}_{\text{set}}(\text{Sing}^i(X), G). \end{aligned}$$

e.g.,  $C^0(X; G) = \text{Maps}_{\text{set}}(\text{Sing}^0(X), G)$

$= \text{Maps}_{\text{set}}(X, G) = \text{functions from } X^{\text{discrete}} \rightarrow G$ .

↑  
X as a set (forgetting all topology).

• contravariant (as opposed to covariant) functoriality:

Any map  $f: X \rightarrow Y$  induces  $f_{\#}: C_0(X) \rightarrow C_0(Y)$  chain map,

hence induces  $f^{\#} = (f_{\#})^* = (-) \circ f_{\#}: C^0(Y; G) \rightarrow C^0(X; G)$

a co-chain map (meaning again  $f^{\#} \circ \delta_Y = \delta_X \circ f^{\#}$ ), hence a map

$f^* = [f^{\#}]: H^0(Y; G) \rightarrow H^0(X; G)$  (in contrast the same  $f$  induces  $f_*: H_0(X) \rightarrow H_0(Y)$ )

(similarly for  $f: (X, A) \rightarrow (Y, B)$ , get  $f^*$  between cohomologies in opposite direction)

In light of the fact that  $C^0(X; G)$  are determined as  $\text{Hom}_{\mathbb{Z}}(C_0(X), G)$ , we might ask:

Q: what's the relationship between  $H^0(X; G)$  and  $H_0(X)$ ?

To put things on level footing, let's recall we can also take singular chains w/  $G$ -coeffs: ( $G$  any ab. group)

$C_n(X; G) := C_n(X) \otimes_{\mathbb{Z}} G$ , w/ induced  $\partial := \partial \otimes \text{id}_G$ , and

$\leadsto H_n(X; G)$  implicitly  $H_n(X; \mathbb{Z})$

Q: what's the relationship between  $H_n(X)$  and  $H_n(X; G)$ ?

More generally, can consider any chain complex  $C_{\bullet} = \{ \dots \rightarrow C_n \xrightarrow{\partial} \dots \rightarrow C_0 \rightarrow 0 \}$ ;

Q: what's the relation between  $\{H_n(C_{\bullet})\}_n$  and

•  $\{H^n(\text{Hom}_{\mathbb{Z}}(C_{\bullet}, G))\}_n$  ?

•  $\{H_n(C_{\bullet} \otimes_{\mathbb{Z}} G)\}_n$  ?

Example:

$$\begin{array}{ccccccc}
 & \text{deg } 2 & & \text{deg } 1 & & \text{deg } 0 & \\
 C = & 0 & \rightarrow & \mathbb{Z} & \xrightarrow{\begin{matrix} [2, 0] \\ \partial_1 \end{matrix}} & \mathbb{Z} & \rightarrow 0 \text{ has homology} \\
 & & & \oplus & & & \\
 & & & \mathbb{Z} & & & \\
 & & & & & & H_1 = \ker \partial_1 \cong \mathbb{Z} \\
 & & & & & & H_0 = \text{coker } \partial_1 \cong \mathbb{Z}/2\mathbb{Z}.
 \end{array}$$

$$\text{Hom}(C, \mathbb{Z}): \quad 0 \leftarrow \mathbb{Z} \xleftarrow{\begin{bmatrix} 2 \\ 0 \end{bmatrix}} \mathbb{Z} \leftarrow 0$$

not linear duals of each other !!

$$\text{Hom}(\mathbb{Z}^2, \mathbb{Z}) \cong \mathbb{Z}^2$$

$$H^4 = \text{coker } \delta_0 = \frac{\mathbb{Z} \oplus \mathbb{Z}}{\mathbb{Z} \oplus \mathbb{Z}} = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}$$

$$H^0 = \text{ker } \delta_0 = 0$$

Roughly speaking, it seems "free part of  $H_i$  contributes to  $H^i$ , torsion part of  $H_{i-1}$  contributes to  $H^i$ ." (but so far, this is an imprecise idea).

$$C \otimes_{\mathbb{Z}} \mathbb{Z}/2 : 0 \rightarrow \mathbb{Z}/2 \oplus \mathbb{Z}/2 \xrightarrow{\begin{bmatrix} 0 & 0 \end{bmatrix}} \mathbb{Z}/2 \rightarrow 0$$

$$\Rightarrow H_i(C; \mathbb{Z}/2) = \begin{cases} \mathbb{Z}/2 \oplus \mathbb{Z}/2 & i=1 \\ \mathbb{Z}/2 & i=0 \end{cases} \quad (\text{not } H_i(C) \otimes_{\mathbb{Z}} \mathbb{Z}/2!)$$

Exercise: look at  $\text{Hom}(C, \mathbb{Z}/2)$  to see similar discrepancies

General  $C_0$ :

Note there is a natural map

$$H^n = H^n(\text{Hom}(C, G)) \xrightarrow{\beta} \text{Hom}_{\mathbb{Z}}(H_n(C), G)$$

given by

$$\beta([f])([c]) := f(c).$$

(exercise: this is independent of choice of representatives of  $[f]$  and  $[c]$ , i.e., note that

$$\begin{aligned} (f + \delta g)(c) &= f(c) + \delta g(c) = f(c) + g(\partial c) \quad (\text{b/c } \delta g = g \circ \delta) \\ &= f(c) \quad (\text{b/c } \partial c = 0). \end{aligned}$$

There's also a natural map

$$H_n(C) \otimes G \xrightarrow{\alpha} H_n(C \otimes G)$$

$$\alpha([x] \otimes g) := [x \otimes g]$$

note  $C_i(K)$  is free.

More refined question: how to measure failure of  $\beta, \alpha$  to be isomorphisms?

Theorem: (universal coefficient theorem for cohomology) for any free chain complex  $C_*$  (means each  $C_i$  is free),

there is a natural (functorial) SES for each  $n$ :

$$0 \rightarrow \text{Ext}(H_{n-1}(C), G) \rightarrow H^n(\text{Hom}(C, G)) \xrightarrow{\beta} \text{Hom}_{\mathbb{Z}}(H_n(C), G) \rightarrow 0$$

the map  $\beta$  from above

new term! sometimes called  $\text{Ext}_{\mathbb{Z}}^1(H_{n-1}, G)$  (but we often leave  $\mathbb{Z}, \mathbb{1}$  implicit; can leave  $\mathbb{1}$  implicit b/c  $\text{Ext}_{\mathbb{Z}}^k(A, B) = 0$  for  $k > 1$ ).

Furthermore, this sequence splits (naturally in  $G$ , but not naturally in  $C_0$ ).

Recall: A SES  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{j} C \rightarrow 0$  splits if  $\exists k: C \rightarrow B$  w/  $j \circ k = \text{id}_C$

If  $k$  exists, it need not be unique, and  $k$  induces  $A \oplus C \xrightarrow{(i, k)} B$ , so get  $B \cong A \oplus C$ .

non-split ex:

$$0 \rightarrow \mathbb{Z} \xrightarrow{i=x_2} \mathbb{Z} \xrightarrow{j=\text{projection}} \mathbb{Z}/2 \rightarrow 0.$$

So UCT (+ a choice of splitting) gives

$$H^n(\text{Hom}_{\mathbb{Z}}(C_0, G)) \cong \text{Hom}_{\mathbb{Z}}(H_n(C_0), G) \oplus \text{Ext}(H_{n-1}(C_0), G).$$

but this isomorphism is not natural in  $C_0$ ; i.e., a chain map  $f: C_0 \rightarrow C_0'$  induces a map of SES's above (in particular on cohomology), but not necessarily respecting the direct sum decomposition for any choice of splitting. (exercise).

Thm (UCT for homology): For a free chain complex  $C_0$ ,  $\exists$  an exact sequence

$$0 \rightarrow H_n(C_0) \otimes_{\mathbb{Z}} G \xrightarrow{\alpha} H_n(C_0 \otimes G) \rightarrow \text{Tor}(H_{n-1}, G) \rightarrow 0$$

sometimes called  $\text{Tor}_{\mathbb{Z}}^2$  (but can suppress  $\mathbb{Z}$  if implicit &  $\mathbb{1}$  again b/c  $\text{Tor}_{\mathbb{Z}}^2 \cong 0$ ).

natural (functorial) in  $C_0$  (w/r/t. chain maps) and  $G$ . The sequence splits (naturally in  $G$ , but not in  $C_0$ ).

The next goal is to define Ext/Tor, then we'll see how to prove UCT's.

For any  $R$ -modules  $M, N$ , can define  $\text{Ext}_R^i(M, N)$  and  $\text{Tor}_i^R(M, N)$ , with

$\uparrow$   
commutative ring

only so we don't have to worry about left vs. right modules;  $R$  associative is otherwise sufficient.

$$\text{Ext}_R^0(M, N) = \text{Hom}_R(M, N), \quad \text{Tor}_0^R(M, N) = M \otimes_R N;$$

with  $\text{Ext}_R^i(M, N)$  (resp.  $\text{Tor}_i^R(M, N)$ ) for  $i > 0$  measuring "the failure at  $M$  of  $\text{Hom}_R(-, N)$  (resp.  $(-) \otimes_R N$ ) to be 'exact'".

A functor  $f$  is exact if whenever have SES  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  then

- if  $f$  contravariant,

$0 \rightarrow f(C) \rightarrow f(B) \rightarrow f(A) \rightarrow 0$  is exact.

• if  $f$  covariant

$0 \rightarrow f(A) \rightarrow f(B) \rightarrow f(C) \rightarrow 0$  is exact.

— case of  $\text{Hom}_{\mathbb{Z}}$

Note: If  $0 \rightarrow A' \xrightarrow{i} A \xrightarrow{j} A'' \rightarrow 0$  is exact, the exercise:

ex:  $0 \rightarrow \text{Hom}(A'', B) \xrightarrow{j^*} \text{Hom}(A, B) \xrightarrow{i^*} \text{Hom}(A', B) \rightarrow 0$  is exact, but

$i^*$  need not be surjective, i.e., not every map  $A' \rightarrow B$  is the restriction of a map  $A \rightarrow B$ , i.e., not every map  $A' \rightarrow B$  extends to  $A \rightarrow B$ . (n.b. Ext stands for "extension").

counter-ex:  $0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \rightarrow \mathbb{Z}/2 \rightarrow 0$  induces

$\text{Hom}(\mathbb{Z}, \mathbb{Z}) \xrightarrow{\times 2} \text{Hom}(\mathbb{Z}, \mathbb{Z})$ , which is not surjective.

Remark: If  $0 \rightarrow A' \xrightarrow{i} A \xrightarrow{j} A'' \rightarrow 0$  is split SES, then in fact get a SES

$0 \rightarrow \text{Hom}(A'', B) \xrightarrow{j^*} \text{Hom}(A, B) \xrightarrow{i^*} \text{Hom}(A', B) \rightarrow 0$ .

||  $\mathbb{Z}$  by splitting  $\nearrow$  easy to see this map surjects ("exact by 0").  
 $\text{Hom}(A' \oplus A'', B)$

Similarly, if  $0 \rightarrow A' \xrightarrow{i} A \xrightarrow{j} A'' \rightarrow 0$  exact, then we are guaranteed only that

$A' \otimes_{\mathbb{Z}} B \xrightarrow{i \otimes id_B} A \otimes_{\mathbb{Z}} B \rightarrow A'' \otimes_{\mathbb{Z}} B \rightarrow 0$  is exact;  $i \otimes id_B$  need not be injective.

How to measure these "failures of exactness"?

Use projective (or injective) resolutions as a 'replacement' of our given group/module.

(particularly nice modules for which the above problems don't arise)

Def: An  $R$ -module  $Q$  is an injective  $R$ -module if, for any injective map (of  $R$ -modules)  $f: M \rightarrow N$ ,

and any map  $g: M \rightarrow Q \quad \exists$

(SES)  $0 \rightarrow M \xrightarrow{f} N$

"any  $g$  to  $Q$  extends along injectives".

$\downarrow \swarrow \exists h$  with  $h \circ f = g$ .

exercise:  
 $\Leftrightarrow$  if any SES  $0 \rightarrow Q \rightarrow M \rightarrow K \rightarrow 0$  splits.

exercise:  
 $\Leftrightarrow$  if  $\text{Hom}_R(-, Q)$  is exact.

Def: An  $R$ -module  $P$  is projective if for any surjection  $f: N \rightarrow M$  and any map  $g: P \rightarrow M$ ,  $\exists$ :

$$\begin{array}{ccc} N & \xrightarrow{f} & M \rightarrow 0 & \text{(SES)} \\ & \nearrow h & \uparrow g & \\ & & P & \text{(projective)} \end{array}$$

with  $foh = g$ .

"any  $g$  from  $P$  lifts along surjections."

$\Leftrightarrow$  any <sup>SES</sup>  $0 \rightarrow A \rightarrow B \rightarrow P \rightarrow 0$  is split.

$\Leftrightarrow \text{Hom}(P, -)$  is exact.

lecture end

Thm: (exercise or look up in a book): For a  $\mathbb{Z}$ -module  $M$  (i.e., an abelian group) (or more generally More a PID  $R$ ).

•  $M$  is injective iff it is divisible.

(an abelian group  $G$  is divisible if for any  $g \in G$  and any  $n \in \mathbb{N}$ ,  $g = n \cdot g'$  for some  $g' \in G$ )

ex:  $\mathbb{Q}$ , non-ex:  $\mathbb{Z}$ , or  $\mathbb{Z}/2$

$\leftarrow$  but  $\mathbb{Z}/2$  is injective as a  $\mathbb{Z}/2$ -module!

•  $M$  is projective iff it is free.

Cor: For a projective  $\mathbb{Z}$ -module  $P$ , given any injection  $0 \rightarrow P' \rightarrow P$  (i.e.,  $\hookrightarrow$  a submodule),

$P'$  is projective too. (subgroups of free abelian groups are free abelian)

Similarly, if  $Q$  injective  $\mathbb{Z}$ -module,  $Q \rightarrow Q' \rightarrow 0$ ,  $Q'$  injective too.