

# Math 113 Final Exam: Solutions

Thursday, June 11, 2013, 3.30 - 6.30pm.

1. (25 points total) Let  $\mathcal{P}_2(\mathbb{R})$  denote the real vector space of polynomials of degree  $\leq 2$ . Consider the following inner product on  $\mathcal{P}_2(\mathbb{R})$ :

$$\langle p, q \rangle := \frac{1}{\sqrt{2}} \int_{-1}^1 p(x)q(x)dx$$

- (a) (10 points) Use the Gram-Schmidt method to find an orthonormal basis of  $\mathcal{P}_2(\mathbb{R})$ .

**Solution:** Denote  $c = \frac{1}{\sqrt{2}}$ . Let's begin with the basis  $(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3) = (1, x, x^2)$ . We'll use the following facts:  $\int_{-1}^1 x^{2k+1}dx = 0$  for any odd number  $2k + 1$ , as  $x^{2k+1}$  is an odd function, and  $\int_{-1}^1 x^{2k}dx = \frac{x^{2k+1}}{2k+1} \Big|_{-1}^1 = \frac{2}{2k+1}$ .

In particular, this implies that  $\langle x^2, x \rangle = \langle x, 1 \rangle = 0$ .

Also, note that the norm  $\|1\| = \sqrt{\langle 1, 1 \rangle} = \sqrt{2/\sqrt{2}} = 2^{\frac{1}{4}}$ .

- Step 1. Set  $e_1 = 1/\|1\| = k_1 \cdot 1$ , where  $k_1 = \frac{1}{2^{1/4}}$ .
- Step 2. Set

$$\begin{aligned} f_2 &= \mathbf{v}_2 - \langle \mathbf{v}_2, e_1 \rangle \\ &= x - \langle x, k_1 \cdot 1 \rangle \cdot 1 \\ &= x \end{aligned}$$

because  $\int_{-1}^1 xdx = 0$ . Now, normalize: first compute that  $\|f_2\|^2 = \frac{1}{\sqrt{2}} \int_{-1}^1 x^2dx = \frac{\sqrt{2}}{3}$ , so

$$e_2 = f_2/\|f_2\| = k_2x$$

where  $k_2 = \frac{\sqrt{3}}{2^{1/4}}$ . Finally,

- Step 3. Set

$$\begin{aligned}
 f_3 &= \mathbf{v}_3 - \langle \mathbf{v}_3, e_2 \rangle \cdot e_2 - \langle \mathbf{v}_3, e_1 \rangle \cdot e_1 \\
 &= x^2 - \langle x^2, k_2 x \rangle - \langle x^2, k_1 \cdot 1 \rangle \\
 &= x^2 - k_1 \left( \int_{-1}^1 x^2 dx \right) \cdot 1 \\
 &= x^2 - 2k_1/3 \cdot 1
 \end{aligned}$$

Then, normalize: first compute that

$$\begin{aligned}
 \|f_3\|^2 &= c \int_{-1}^1 x^4 dx - 4k_1/3c \int_{-1}^1 x^2 dx + 4/9c \int_{-1}^1 k_1^2 dx \\
 &= 2c/5 - 8ck_1/9 + 4/9. \\
 &= 2\sqrt{2}/5 - 8 \cdot 2^{1/4}/9 + 4/9.
 \end{aligned}$$

Letting  $1/k_3$  be the square root of this number, we set

$$e_3 = f_3/\|f_3\| = k_3(x^2 - 2k_1/3 \cdot 1).$$

The result  $(e_1, e_2, e_3)$  is an orthonormal basis, by the Gram-Schmidt method.

**Remarks:** The Gram-Schmidt formula, properly applied (but not necessarily simplified, received full credit. A common mistake (only worth 1 or 2 points off) was to assume that the vector 1 had norm 1, which it does not.

- (b) (5 points) Find an isomorphism  $T : \mathcal{P}^2(\mathbb{R}) \xrightarrow{\sim} \mathbb{R}^3$  such that

$$\langle p, q \rangle = \langle Tp, Tq \rangle_{Eucl}, \tag{1}$$

where  $\langle \cdot, \cdot \rangle_{Eucl}$  denotes the Euclidean dot product on  $\mathbb{R}^3$  (you do not need to prove this is an isomorphism, but you will need to verify (1)).

**Solution:** Let  $(e_1, e_2, e_3)$  be as above. Then, define  $T : \mathcal{P}_2(\mathbb{R}) \rightarrow \mathbb{R}^3$  by sending  $e_i$  to the  $i$ th standard basis vector  $e'_i$  (here we're using  $e'_i$  because the symbol  $e_i$  is already taken).

Note that  $T$  sends an orthonormal basis to an orthonormal basis, so by Axler Chapter 7, it's an isometry, which in particular implies that  $\langle T\mathbf{u}, T\mathbf{v} \rangle_{Eucl} = \langle \mathbf{u}, \mathbf{v} \rangle$  for any pair of vectors  $\mathbf{u}, \mathbf{v}$  (by the same lemma in Axler). Alternatively, one could directly check this.

- (c) (10 points) Find the polynomial  $p(x) \in \mathcal{P}_2(\mathbb{R})$  which best approximates the function  $f(x) = x^3$  on  $[-1, 1]$ , in the sense that it minimizes  $\|p(x) - f(x)\|$  (using the above inner product).

**Solution:** The answer is given by the *orthogonal projection*  $P_U$  of  $x^3$  onto the subspace  $U = \mathcal{P}_2(\mathbb{R})$  of  $\mathcal{P}_3(\mathbb{R})$ .

One way to compute this orthogonal projection is as

$$\begin{aligned} \langle x^3, e_1 \rangle e_1 + \langle x^3, e_2 \rangle e_2 + \langle x^3, e_3 \rangle e_3. \\ &= 0 + (c \int_{-1}^1 k_2 x^4 dx)(k_2 x) + 0 \\ &= (2ck_2^2/5)x \\ &= 2\left(\frac{1}{\sqrt{2}}\right)\left(\frac{3}{\sqrt{2}}\right)\left(\frac{1}{5}\right)x \\ &= \frac{3}{5}x. \end{aligned}$$

where the first and last terms are zero because they are integrals of odd functions over the interval  $[-1, 1]$ .

**Remarks:** A common approach here was to simply write out the expression  $\|p(x) - x^3\|^2$  for an arbitrary polynomial  $p(x) = a_0 + a_1x + a_2x^2$  and then to try to minimize this expression in  $a_1$ ,  $a_2$ , and  $a_3$ . While such a method is certainly valid, it is much more computationally difficult (and as a result, very few such approaches succeeded). On the other hand, simply stating the Axler result that orthogonal projection provided the correct answer, along with a correct formula for orthogonal projection, was worth most of the points.

2. (35 points total; 7 points each) *Prove or disprove.* For each of the following statements, say whether the statement is True or False. Then, prove the statement

if it is true, or disprove (find a counterexample with justification) if it is false. (Note: simply stating “True” or “False” will receive no credit).

- (a) If  $V$  is an inner product space and  $S : V \rightarrow V$  is an *isometry*, then  $S^* = S$ .

**Solution:** False. All that is guaranteed is that  $S^* = S^{-1}$ . For an example, consider the isometry  $S : \mathbb{C} \rightarrow \mathbb{C}$  given by multiplication by  $i$ . Note that  $S^*$  is multiplication by  $-i$ , which is not equal to  $S$ .

- (b) If  $V$  is a finite-dimensional inner product space, and  $T : V \rightarrow V$  is a map satisfying  $T^* = p(T)$  for some polynomial  $p(z)$ , then  $\|T\mathbf{v}\| = \|T^*\mathbf{v}\|$  for every  $\mathbf{v} \in V$ .

**Solution:** True. We check first that  $T$  is *normal*: Note that  $TT^* = Tp(T) = p(T)T = T^*T$  because any two polynomials in  $T$  commute. Then by a Lemma in Axler, normality of  $T$  is equivalent to  $T\mathbf{v}$  and  $T^*\mathbf{v}$  having the same norm for any  $\mathbf{v}$ .

- (c) If  $S$  and  $T$  are two nilpotent linear operators on a finite-dimensional vector space  $V$ , and  $ST = TS$ , then  $S + T$  is nilpotent.

**Solution:** True. Suppose that  $S^k = 0$  and  $T^l = 0$  for some  $k, l$ . Then, using binomial expansion,

$$(S + T)^{k+l} = \sum_{i=0}^{k+l} \binom{k+l}{i} S^i T^{k+l-i}$$

where we’ve critically used the fact that  $ST = TS$  to equate expressions like  $SSTS$  and  $SSST$ . But note that in each term of the form  $S^i T^{k+l-i}$ , if  $i < k$ , then  $k + l - i > l$ . Namely, either the exponent of  $S$  is greater than or equal to  $k$  or the exponent of  $T$  is greater than or equal to  $l$ . Thus, each term is 0.

- (d) If  $V$  is an inner product space and  $T : V \rightarrow V$  is self-adjoint, then for any basis  $\underline{\mathbf{v}} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$  of  $V$  the matrices of  $T$  and  $T^*$  with respect to  $\underline{\mathbf{v}}$  are conjugate transposes, e.g.,

$$\mathcal{M}(T, \underline{\mathbf{v}}) = \overline{\mathcal{M}(T^*, \underline{\mathbf{v}})}^T.$$

**Solution:** False, this is only necessarily true with respect to orthonormal bases. For a counterexample, let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  send  $e_1$  to  $e_2$  and  $e_2$  to  $e_1$

(where  $e_i$  are the standard basis vectors). The matrix of  $T$  with respect to the orthonormal basis  $(e_1, e_2)$  is

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

which is equal to its conjugate transpose, hence  $T$  is self-adjoint. However, with respect to the basis  $\underline{\mathbf{v}} = (\mathbf{v}_1, \mathbf{v}_2) = (e_1 + e_2, e_2)$ ,  $T$  maps  $\mathbf{v}_1$  to  $\mathbf{v}_1$  and  $\mathbf{v}_2$  to  $\mathbf{v}_1 - \mathbf{v}_2$ . Thus, the matrix of  $T$  with respect to this basis is

$$\mathcal{M}(T, \underline{\mathbf{v}}) = \begin{pmatrix} 1 & 1 \\ 0 & -1 \end{pmatrix},$$

which is not equal to its conjugate transpose.

- (e) If  $V$  is a finite-dimensional inner product space and  $T : V \rightarrow W$  a linear map such that  $T^* : W \rightarrow V$  is injective, then  $T$  is surjective.

**Solution:** True. Note that by Axler,  $\ker T^* = (\operatorname{im} T)^\perp$ . Now, if  $T^*$  is injective, then the former space is 0. This means  $(\operatorname{im} T)^\perp = 0$ , which implies  $\operatorname{im} T = V$ .

**3.** (30 points total)

- (a) (5 points) State the Jordan Normal Form theorem for linear maps  $T : V \rightarrow V$ , where  $V$  is a finite-dimensional complex vector space.

**Solution:** If  $V$  is a finite-dimensional complex vector space and  $T : V \rightarrow V$  is a linear operator then there exists a basis  $\underline{\mathbf{v}} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$  of  $V$ , called a *Jordan basis*, such that the matrix of  $T$  with respect to  $\underline{\mathbf{v}}$  is *block-diagonal*, i.e. of the form

$$\begin{pmatrix} A_1 & 0 & \cdots & 0 \\ 0 & A_2 & \cdots & 0 \\ & \vdots & \ddots & 0 \\ 0 & 0 & \cdots & A_k \end{pmatrix},$$

where each  $A_i$  is a square upper triangular matrix of the form

$$A_i = \begin{pmatrix} \lambda & 1 & 0 & \cdots & 0 \\ 0 & \lambda & 1 & \cdots & 0 \\ 0 & \vdots & \vdots & \vdots & 0 \\ 0 & \vdots & \vdots & \vdots & 1 \\ 0 & 0 & 0 & \cdots & \lambda \end{pmatrix},$$

where  $\lambda$  is some eigenvalue of  $T$ .

- (b) (15 points) Suppose  $T : \mathbb{C}^7 \rightarrow \mathbb{C}^7$  is a linear map with characteristic polynomial

$$q_T(z) = (z - 2)^2(z - 3)^2(z - 4)^3.$$

Suppose also that  $\dim \ker(T - 2I) = \dim \ker(T - 4I) = 1$  and  $\dim \ker(T - 3I) = 2$ . Find a matrix which is a Jordan normal form for  $T$ . Be sure to justify your answer.

**Solution:** By Axler, this form for the characteristic polynomial implies that  $\mathbb{C}^7$  decomposes as  $U_2 \oplus U_3 \oplus U_4$  where  $U_\lambda$  denotes the generalized  $\lambda$ -eigenspace of  $T$ . Moreover, by Axler, the exponent of  $(z - \lambda)$  in the characteristic polynomial is the dimension of  $U_\lambda$ , so  $\dim U_2 = \dim U_3 = 2$ , and  $\dim U_4 = 3$ .

Let  $W_\lambda$  denote the  $\lambda$ -eigenspace of  $T$ , so  $W_\lambda \subset U_\lambda$ . By JNF, there exists a Jordan basis for  $T$ , with associated matrix a collection of Jordan blocks. We claim that *the number of Jordan blocks with a given  $\lambda$  on the diagonal is in fact the dimension of the eigenspace  $W_\lambda$* . Namely, for each Jordan block, the basis vector corresponding to the top left corner of that Jordan block is a genuine eigenvalue. Moreover, by explicit computation, it is the only basis vector within that given Jordan block in the kernel of  $T - \lambda I$ . More explicitly, if  $\mathbf{v}_1, \dots, \mathbf{v}_k$  is a substring of a basis of  $V$  corresponding to a single  $k \times k$  Jordan block with  $\lambda$  on the diagonal, then on this collection of vectors,  $T - \lambda I$ , whose corresponding matrix is the same sized Jordan block with 0's on the diagonal,

acts as

$$\begin{aligned}\mathbf{v}_1 &\mapsto 0 \\ \mathbf{v}_2 &\mapsto 1 \\ &\vdots \\ \mathbf{v}_k &\mapsto \mathbf{v}_{k-1}\end{aligned}$$

and thus  $T - \lambda I$  has one-dimensional kernel on  $\text{span}(\mathbf{v}_1, \dots, \mathbf{v}_k)$ . On all of  $V$ , the kernel of  $T - \lambda I$  is the direct sum of the kernels of  $T - \lambda I$  on each subspace corresponding to a  $\lambda$ -Jordan block, each of which is one dimensional. Thus, the total dimension of  $\ker(T - \lambda I)$  is the number of Jordan blocks.

Let us now examine the which Jordan blocks must appear in a Jordan matrix for  $T$  for each eigenvalue  $\lambda$ . First consider the case  $\lambda = 2$  or  $4$ , in which case  $\dim W_\lambda = 1$ . Using the above claim, we conclude there can be only one Jordan block for  $\lambda$ . For  $\lambda = 2$ , since  $\dim U_2 = 2$ , we conclude there is one 2 by 2 Jordan block with 2 the diagonal. Similarly, since  $\dim U_4 = 3$ , we conclude that there is one 3 by 3 Jordan block with 4 on the diagonal.

Now, for  $\lambda = 3$ , since  $\dim W_3 = 2$ , there must be 2 Jordan blocks with 3's on the diagonal. Since the total dimension of  $U_3$ , the sum of sizes of all 3-Jordan blocks, is 3, both eigenvalue 3 blocks must be 1 by 1.

Thus, up to reordering the Jordan blocks, the Jordan matrix for  $T$  looks like

$$\begin{pmatrix} 2 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 4 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 4 \end{pmatrix}.$$

**Remarks:** A number of students thought  $\dim \ker(T - \lambda I)$  was the maximal size of a Jordan block, which led to an incorrect answer on this section. As a

simple example to see why this is not the case, consider a linear transformation  $T : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  whose matrix with respect to some basis is

$$3I = \begin{pmatrix} 3 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 3 \end{pmatrix}.$$

Note that this matrix is a collection of 3 1 by 1 Jordan blocks, so the maximal size of a Jordan block is 1. However, the dimension of the 3 eigenspace  $\ker(T - 3I)$  is 3.

Also, it was possible to prove this problem without verifying the entire claim, just by observing that if there are e.g.,  $k$   $\lambda$ -Jordan blocks, then there are at least  $k$  linearly independent  $\lambda$ -eigenvectors (then use process of elimination).

(c) (10 points) What is the minimal polynomial of  $T$ ?

**Solution.** The minimal polynomial is

$$\prod_{\lambda \text{ eigenvalue of } T} (z - \lambda)^{k_\lambda}$$

where  $k_\lambda$  is the size of the largest Jordan block with  $\lambda$  on the diagonal for any Jordan matrix for  $T$ . Using the Jordan form determined in the previous section, we can read off that the minimal polynomial is

$$(z - 2)^2(z - 3)(z - 4)^3.$$

4. (20 points total; 10 points each) Suppose that  $(V, \langle \cdot, \cdot \rangle)$  is a finite-dimensional inner product space, and  $T : V \rightarrow V$  is a *positive linear operator* with all eigenvalues strictly greater than 0.

(a) (10 points) Prove that

$$\langle \mathbf{u}, \mathbf{v} \rangle' := \langle T\mathbf{u}, \mathbf{v} \rangle \tag{2}$$

defines a new inner product on  $V$ .



**Solution:** Note first that since  $T$  is positive with all eigenvalues strictly greater than zero, there exists a unique square root  $P$  which is positive with all eigenvalues strictly greater than zero. Then

$$\langle T\mathbf{u}, \mathbf{v} \rangle = \langle P P \mathbf{u}, \mathbf{v} \rangle = \langle P \mathbf{u}, P \mathbf{v} \rangle.$$

with  $P$  positive and all eigenvalues  $> 0$ .

Now, we can quickly verify the conditions of being an inner product (it's not strictly necessary to do so, but our solution will use  $P$ ):

- positivity: Note that  $\langle \mathbf{v}, \mathbf{v} \rangle' = \langle P \mathbf{v}, P \mathbf{v} \rangle = \|P \mathbf{v}\|^2 \geq 0$ . (alternatively, this follows by definition of  $T$  being positive).
- definiteness: Since  $P$  is positive, it is self-adjoint so admits an orthonormal eigenbasis  $e_1, \dots, e_n$ . Let  $(\lambda_1, \dots, \lambda_n)$  be the eigenvalues; these are strictly greater than zero by Axler. Then note that any non-zero  $\mathbf{v} = \sum a_i e_i$ ,

$$\|P \mathbf{v}\|^2 = \sum a_i \lambda_i^2$$

which is strictly positive as each  $\lambda_i$  is non-zero and positive, and at least one  $a_i$  is not equal to zero.

- linear in first slot: This follows from linearity of  $P$ , and linearity in the first slot of the original inner product.
- Conjugate symmetry: this follows from conjugate symmetry of the original inner product, and either self-adjointness of  $T$  or the symmetry of the expression  $\langle P \mathbf{u}, P \mathbf{v} \rangle$ .

**Remarks:** It was not necessary to take a square root to prove that  $\langle \cdot, \cdot \rangle'$  is an inner product; one can simply use self-adjointness of  $T$ , the fact that  $T$  is linear, the positivity condition, the fact that all eigenvalues were strictly positive, and the fact that  $\langle \cdot, \cdot \rangle$  is an inner product to obtain the above properties for  $\langle \cdot, \cdot \rangle'$ . This exercise did not require using any bases, although some students successfully took this approach.

- (b) (10 points) Suppose that  $T$  is as above, and  $S : V \rightarrow V$  is a self-adjoint linear map (with respect to the original inner product  $\langle \cdot, \cdot \rangle$ ). Prove that  $ST$  is diagonalizable (i.e. that it admits a basis of eigenvectors).

**Hint/warning:**  $ST$  is not self-adjoint with respect to the original inner product  $\langle \cdot, \cdot \rangle$ ; in fact, if  $*$  denotes taking adjoints with respect to the original inner product, note that  $(ST)^* = T^*S^* = TS$ , which is not in general equal to  $ST$ .

**Solution:** If  $ST$  is self-adjoint with respect to the modified inner product  $\langle \cdot, \cdot \rangle'$ , then by the spectral theorem,  $ST$  will have an orthonormal eigenbasis (with respect to the modified inner product). This in particular would imply that  $ST$  has an eigenbasis, as desired. So it suffices to verify that  $ST$  is self-adjoint with respect to  $\langle \cdot, \cdot \rangle'$ , which follows from a computation:

$$\begin{aligned} \langle ST\mathbf{u}, \mathbf{v} \rangle' &= \langle T(ST\mathbf{u}), \mathbf{v} \rangle \\ &= \langle TST\mathbf{u}, \mathbf{v} \rangle \\ &= \langle T\mathbf{u}, S^*T^*\mathbf{v} \rangle \\ &= \langle T\mathbf{u}, ST\mathbf{v} \rangle \\ &= \langle \mathbf{u}, \mathbf{v} \rangle' \end{aligned}$$

where the second last equality followed from  $S$  and  $T$  individually being self-adjoint.

5. (15 points) *Determinants via wedge products.* Let  $V$  be a 4-dimensional real vector space. Suppose  $T : V \rightarrow V$  is a linear map which, with respect to a basis  $(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \mathbf{v}_4)$  has the following form:

$$\begin{aligned} \mathbf{v}_1 &\mapsto 3\mathbf{v}_2 - \mathbf{v}_3 \\ \mathbf{v}_2 &\mapsto 2\mathbf{v}_4 \\ \mathbf{v}_3 &\mapsto \mathbf{v}_1 + \mathbf{v}_4 \\ \mathbf{v}_4 &\mapsto \mathbf{v}_2 + \mathbf{v}_3 \end{aligned}$$

Using wedge products, calculate the determinant of  $T$ .

**Solution:** We compute:

$$\begin{aligned}
 T_*(\mathbf{v}_1 \wedge \cdots \wedge \mathbf{v}_4) &= T\mathbf{v}_1 \wedge T\mathbf{v}_2 \wedge T\mathbf{v}_3 \wedge T\mathbf{v}_4 \\
 &= (3\mathbf{v}_2 - \mathbf{v}_3) \wedge (2\mathbf{v}_4) \wedge (\mathbf{v}_1 + \mathbf{v}_4) \wedge (\mathbf{v}_2 + \mathbf{v}_3) \\
 &= (3\mathbf{v}_2 - \mathbf{v}_3) \wedge (2\mathbf{v}_4) \wedge \mathbf{v}_1 \wedge (\mathbf{v}_2 + \mathbf{v}_3) \\
 &= 3\mathbf{v}_2 \wedge (2\mathbf{v}_4) \wedge \mathbf{v}_1 \wedge \mathbf{v}_3 + (-\mathbf{v}_3) \wedge (2\mathbf{v}_4) \wedge \mathbf{v}_1 \wedge \mathbf{v}_2 \\
 &= 6\mathbf{v}_2 \wedge \mathbf{v}_4 \wedge \mathbf{v}_1 \wedge \mathbf{v}_3 - 2\mathbf{v}_3 \wedge \mathbf{v}_4 \wedge \mathbf{v}_1 \wedge \mathbf{v}_2 \tag{3}
 \end{aligned}$$

where we have expanded using multilinearity and canceled using the alternating condition. Now, note that

$$\begin{aligned}
 \mathbf{v}_2 \wedge \mathbf{v}_4 \wedge \mathbf{v}_1 \wedge \mathbf{v}_3 &= -\mathbf{v}_4 \wedge \mathbf{v}_2 \wedge \mathbf{v}_1 \wedge \mathbf{v}_3 \\
 &= \mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \mathbf{v}_4 \wedge \mathbf{v}_3 \\
 &= -\mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \mathbf{v}_3 \wedge \mathbf{v}_4
 \end{aligned}$$

where we've swapped vectors one at a time with sign flips. Similarly,

$$\begin{aligned}
 -\mathbf{v}_3 \wedge \mathbf{v}_4 \wedge \mathbf{v}_1 \wedge \mathbf{v}_2 &= \mathbf{v}_2 \wedge \mathbf{v}_4 \wedge \mathbf{v}_1 \wedge \mathbf{v}_3 \\
 &= -\mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \mathbf{v}_3 \wedge \mathbf{v}_4.
 \end{aligned}$$

So the above expression becomes

$$(-6 - 2)\mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \mathbf{v}_3 \wedge \mathbf{v}_4 = (-8)\mathbf{v}_1 \wedge \mathbf{v}_2 \wedge \mathbf{v}_3 \wedge \mathbf{v}_4,$$

hence  $\det(T) = -8$ .

6. (15 points) *Norm bounds.* Let  $V$  be a finite-dimensional inner product space, and  $T : V \rightarrow V$  an invertible linear map. Prove that there exists a positive real constant  $c > 0$  such that

$$\|T\mathbf{v}\| \geq c\|\mathbf{v}\|$$

for all vectors  $\mathbf{v} \in V$ .

**Hint:** Singular Value Decomposition or Polar Decomposition may be helpful.

**Solution:** As indicated during the exam, SVD is probably the simpler way to proceed. By SVD, for any  $T$ , there exists a pair of orthonormal bases  $e_1, \dots, e_n$  and  $f_1, \dots, f_n$  of  $V$ , and non-negative real numbers  $s_1, \dots, s_n$ , called the *singular values* of  $T$ , such that

$$Te_i = s_i f_i.$$

Note first that if  $T$  is invertible, then  $T$  is injective, so each  $s_i$  is strictly positive. (if some  $s_i = 0$ , then  $Te_i = 0f_i = 0$ , a contradiction to injectivity).

Set  $c = \min(s_1, \dots, s_n) > 0$ . Then, note that for any  $\mathbf{v} \in V$ ,  $\mathbf{v} = a_1 e_1 + \dots + a_n e_n$ ,

$$\begin{aligned} \|T\mathbf{v}\|^2 &= \|a_1 s_1 f_1 + \dots + a_n s_n f_n\|^2 \\ &= \sum_{i=1}^n a_i^2 s_i^2 \\ &\geq c^2 \sum_{i=1}^n a_i^2 \\ &= c^2 \|\mathbf{v}\|^2, \end{aligned}$$

where second and fourth lines used the pythagorean theorem, and the third line used the facts that every term in the sum was positive and each  $s_i \geq c$  by definition. Taking square roots implies the desired result.

7. (20 points total; 10 points each) *Composition as a map from the tensor product.* Let  $V$  be a finite-dimensional vector space of dimension  $n$ , and let  $\mathcal{L}(V)$  denote the space of linear maps from  $V$  to  $V$ . Consider the map

$$\text{comp} : \mathcal{L}(V) \otimes \mathcal{L}(V) \longrightarrow \mathcal{L}(V)$$

defined on pure tensors by

$$S \otimes T \mapsto ST$$

and extended by linearity to a general element of the tensor product.

- (a) Prove that *comp* is a linear map.

**Solution:** By our class notes on the tensor product, it suffices to show that the induced map on pure tensors is *bilinear*; that is the map

$$\begin{aligned} \text{comp} : \mathcal{L}(V) \times \mathcal{L}(V) &\rightarrow \mathcal{L}(V) \\ (S, T) &\mapsto \text{comp}(S \otimes T) = ST \end{aligned}$$

is bilinear. We check that

$$\begin{aligned} \text{comp}(aS + bS', T) &= (aS + bS')T \\ &= aST + bS'T \\ &= a \cdot \text{comp}(S, T) + b \cdot \text{comp}(S', T), \end{aligned}$$

verifying linearity in the first slot. Similarity,

$$\begin{aligned} \text{comp}(S, aT + bT') &= S(aT + bT') \\ &= aST + bST' \\ &= a \cdot \text{comp}(S, T) + b \cdot \text{comp}(S, T'), \end{aligned}$$

verifying linearity in the second slot. (Both of these verifications use properties of composition which were discussed in class and Axler).

(b) Calculate  $\dim \ker \text{comp}$ .

**Solution:** First, by Axler we know that  $\dim \mathcal{L}(V) = n^2$ , and by class notes we know that  $\dim \mathcal{L}(V) \otimes \mathcal{L}(V) = n^2 \cdot n^2 = n^4$ .

Now, for any  $S \in \mathcal{L}(V)$ , the pure tensor  $S \otimes I$  maps to  $S$  via  $\text{comp}$ ; hence  $\text{comp}$  is surjective; i.e.

$$\dim \text{im } \text{comp} = n^2.$$

Now, we can apply Rank-Nullity:

$$\begin{aligned} \dim \ker \text{comp} &= \dim(\mathcal{L}(V) \otimes \mathcal{L}(V)) - \dim \text{im } \text{comp} \\ &= n^4 - n^2. \end{aligned}$$