

## Characteristic classes

A characteristic class for real or complex vector bundles (or for real/complex vec. bundles of rank  $k$ ) assigns to each such  $E \rightarrow B$  a coh. class  $c(E) \in H^*(B; \mathbb{R})$  (some  $\mathbb{R}$ , may depend on  $c$ .)  
 (only depends on iso. class of  $E \rightarrow B$ ) which is natural in  $E$  in the sense that if  $f: A \rightarrow B$  continuous map, we get a pullback bundle  $f^*E$   $\downarrow$   $A$ , and  $c(f^*E) = f^*(c(E))$ .  
 $\uparrow$   $H^*(A; \mathbb{R})$   $\uparrow$   $H^*(B; \mathbb{R})$   
 $f^*: H^*(B; \mathbb{R}) \rightarrow H^*(A; \mathbb{R})$ .

By the existence of classifying maps for vector bundles, such a class  $c$  is determined on all  $E \rightarrow B$  by knowing

• (if complex rank  $k$  bundles)  $\hat{c} := c \left( \begin{matrix} E_{\text{fact}}^{k, \mathbb{C}} \\ \downarrow \\ BU(k) \end{matrix} \right) \in H^*(BU(k); \mathbb{R}) = H^*(G_k(\mathbb{C}^\infty); \mathbb{R})$ .  
 (for any other  $E \downarrow B$ ,  $E = f^*E_{\text{fact}}$  for some  $f: B \rightarrow BU(k)$  unique up to homotopy, so naturality gives  $c(E) = f^*\hat{c}$ .)

• (if real rank  $k$  bundles)  $\hat{c} := c \left( \begin{matrix} E_{\text{fact}}^{k, \mathbb{R}} \\ \downarrow \\ BO(k) \end{matrix} \right) \in H^*(BO(k); \mathbb{R}) = H^*(G_k(\mathbb{R}^\infty); \mathbb{R})$ .

Obs. If  $E \rightarrow B$  is trivial, then  $E \cong p^*\mathbb{R}^k$  (or  $p^*\mathbb{C}^k$  if complex case) where  $p: B \rightarrow \text{pt}$

$\Rightarrow c(E) = p^*(c(\mathbb{R}^k))$  is trivial, in sense that it's either 0 or a non-zero multiple of unit in  $H^0$ .  
 $\uparrow$   $H^0(\text{pt}) = \begin{cases} \mathbb{R} & \text{deg } 0 \\ 0 & \text{otherwise} \end{cases}$

We conclude if  $c(E)$  is not trivial in such a sense (i.e., non-zero in some degree  $> 0$ ), then  $E$  cannot be a trivial bundle.

## First examples:

(1) The first Stiefel-Whitney class of a real line bundle  $L \rightarrow X$  (gives a class  $w_1(L) \in H^1(X; \mathbb{Z}/2)$ ):

In  $BO(1) = G_1(\mathbb{R}^\infty) = \mathbb{R}P^\infty$ , there exists a unique non-zero element  $h \in H^1(\mathbb{R}P^\infty; \mathbb{Z}/2) \cong \mathbb{Z}/2$ .

Define  $w_1(L_{\text{triv}} \rightarrow \mathbb{R}P^\infty) := h$ .

(as a ring  $H^*(\mathbb{R}P^\infty; \mathbb{Z}/2) \cong \mathbb{Z}/2[h]$   
 $|h| = 1$ .)

$\Rightarrow$  for any  $L \rightarrow X$  classified by  $X \xrightarrow{f} \mathbb{R}P^\infty$  (i.e.,  $L = f^*L_{\text{triv}}$ ), we get a def'n

$w_1(L) := f^*(h) \in H^1(X; \mathbb{Z}/2)$   $\xrightarrow{\text{UCT}} \text{Hom}(H_1(X; \mathbb{Z}), \mathbb{Z}/2) = \text{Hom}(\pi_1(X), \mathbb{Z}/2)$   
 $\uparrow$  well-defined b/c  $f$  well-defined up to homotopy  $\uparrow$  no torsion in  $H_1$   $\uparrow$  b/c  $\pi_1(X)^{ab} = H_1(X)$

Given a loop  $\gamma: S^1 \rightarrow X$ ,  $w_1(L)([\gamma]) \in \mathbb{Z}/2$  is defined as  $\begin{cases} 1 & \text{if } \gamma^*L \rightarrow S^1 \text{ is non-trivial} \\ 0 & \text{if } \gamma^*L \rightarrow S^1 \text{ is trivial} \end{cases}$

(2) The first Chern class of a complex line bundle  $L \rightarrow X$  (gives a class  $c_1(L) \in H^2(X; \mathbb{Z})$ ):

In  $BU(1) = G_1(\mathbb{C}^\infty) = \mathbb{C}P^\infty$ , note  $H^*(\mathbb{C}P^\infty; \mathbb{Z}) \cong \mathbb{Z}[h]$  with  $|h|=2$  and in particular  $H^2(\mathbb{C}P^\infty) \cong \mathbb{Z}$ .

We want to declare  $c_1(L_{\text{triv}}) =$  a generator of  $H^2(\mathbb{C}P^\infty)$ , but which one? (two choices, so far  $h$  is only defined as a choice of generator of  $H^2$ ). The choice is a convention, but we need to fix one.

We'll use the following facts to fix an iso.  $H^2(\mathbb{C}P^\infty; \mathbb{Z}) \cong \mathbb{Z}$ .

- a complex vector space  $V/\mathbb{C}$  of finite dimension has a canonical orientation when thought of as a real vector space:

Namely if  $v_1, \dots, v_n$  is a basis over  $\mathbb{C}$  declare "complex-orientation" of  $V/\mathbb{R}$  to be orientation induced by  $(v_1, iv_1, v_2, iv_2, \dots, v_n, iv_n)$ .

obs: if swap  $v_s$  &  $v_t$ , in real basis have need to swap  $(v_s, iv_s) \leftrightarrow (v_t, iv_t) \rightarrow$  even # of swaps  $\rightarrow$  same orientation.

- More generally, since  $GL(n, \mathbb{C})$  is connected, the map  $GL(n, \mathbb{C}) \hookrightarrow GL(2n, \mathbb{R})$  lands in a connected component of  $GL(2n, \mathbb{R})$ , i.e.,  $GL(2n, \mathbb{R})^+$ . (b/c it contains  $\text{Id}$ ).

- In particular, complex manifolds  $M$  carry canonical orientations of their tangent bundle  $\begin{matrix} TM \\ \downarrow \\ M \end{matrix}$  (thought of as a real bundle). — pick the complex orientation for every  $T_p M$ ; canonical.

$\mathbb{Q}^{2n} \leftarrow \text{real dim. } 2n$ .

- In particular, for a cpt. complex manifold, using equivalence between homology orientations & orientations of  $\begin{matrix} TM \\ \downarrow \\ M \end{matrix}$  (omitted, but proved in many places), we deduce  $\exists$  a canonical fundamental class.

$$[Q] \in H_{2n}(Q; \mathbb{Z}),$$

- So  $\exists$  a canonical  $[\mathbb{C}P^1] \in H_2(\mathbb{C}P^1; \mathbb{Z})$  &  $\mathbb{C}P^1 \hookrightarrow \mathbb{C}P^\infty$ , a canonical generator,  $[\mathbb{C}P^1] \in H_2(\mathbb{C}P^\infty; \mathbb{Z})$

- Define  $h \in H^2(\mathbb{C}P^\infty; \mathbb{Z})$  to be the generator with  $\langle h, [\mathbb{C}P^1] \rangle = +1$ .

Declare  $c_1\left(\begin{matrix} L_{\text{triv}} \\ \downarrow \\ \mathbb{C}P^\infty \end{matrix}\right) := -h$  where  $h$  is the canonical generator above.

$\Rightarrow$  gives a def'n for any  $\begin{matrix} L \\ \downarrow \\ X \end{matrix}$  classified by  $f: X \rightarrow \mathbb{C}P^\infty$  (so  $f^* L_{\text{triv}} \cong L$ ), as:

$$c_1(L) := f^*(-h) \in H^2(X; \mathbb{Z}).$$

Lemma:  $L_1, L_2 \rightarrow X$  cplx. line bundles, then  $c_1(L_1 \otimes L_2) = c_1(L_1) + c_1(L_2) \in H^2(X; \mathbb{Z})$   
(and same lemma holds for  $w_1$  in case of real line bundles w/ same proof; replace  $\mathbb{C}P^\infty$  by  $\mathbb{R}P^\infty$ , etc.)

Pf: Say  $f_i: X \rightarrow \mathbb{C}P^\infty$  classifies  $L_i$  (so  $f_i^* L_{\text{tot}} = L_i$ )  $i=1,2$ .

So define  $F = (f_1, f_2): X \rightarrow \mathbb{C}P^\infty \times \mathbb{C}P^\infty$ .

Let  $\pi_i: \mathbb{C}P^\infty \times \mathbb{C}P^\infty \rightarrow \mathbb{C}P^\infty$  project to  $i$ th factor,  $i=1,2$ , &

set  $L_i^{\text{tot}} := \pi_i^* L_{\text{tot}} \rightarrow \mathbb{C}P^\infty \times \mathbb{C}P^\infty$

Obs:  $L_1 \otimes L_2 = F^*(L_1^{\text{tot}} \otimes L_2^{\text{tot}})$

$$\begin{aligned}
 \text{( why? } F^*(L_1^{\text{tot}} \otimes L_2^{\text{tot}}) &= F^*(L_1^{\text{tot}}) \otimes F^*(L_2^{\text{tot}}) \\
 &= ((f_1, f_2)^* \pi_1^* L_{\text{tot}}) \otimes ((f_1, f_2)^* \pi_2^* L_{\text{tot}}) \\
 &= (f_1^* L_{\text{tot}}) \otimes (f_2^* L_{\text{tot}}) \\
 &= L_1 \otimes L_2.
 \end{aligned}$$

(Rmk: For any  $\begin{matrix} E & F \\ \downarrow & \downarrow \\ A & B \end{matrix}$ ,  $E \otimes F := (\pi_A^* E) \otimes (\pi_B^* F)$ )

In  $\mathbb{C}P^\infty \times \mathbb{C}P^\infty$ , we know  $H^*(\mathbb{C}P^\infty \times \mathbb{C}P^\infty; \mathbb{Z}) \cong_{\text{K\"unneth}} \mathbb{Z}[h_1, h_2]$ ,  $|h_1| = |h_2| = 2$

which in degree 2 is  $\mathbb{Z}\langle h_1 \rangle \oplus \mathbb{Z}\langle h_2 \rangle$ .

$h_1 := \pi_1^* h$ ,  $h_2 := \pi_2^* h$ ,  $h$  canonical element as above.

Claim:  $c_2(L_1^{\text{tot}} \otimes L_2^{\text{tot}}) = -h_1 - h_2$ .

If true, then by Obs:  $c_1(L_1 \otimes L_2) = c_1(F^*(L_1^{\text{tot}} \otimes L_2^{\text{tot}})) = F^*(-h_1 - h_2)$   
 $= (f_1, f_2)^*(\pi_1^*(-h) + \pi_2^*(-h)) = f_1^*(-h) + f_2^*(-h) = c_1(L_1) + c_1(L_2)$ .  
 so we'd be done.

Pf of claim: know  $c_1(L_1^{\text{tot}} \otimes L_2^{\text{tot}}) = ah_1 + bh_2$ ; need to pin down  $a$  &  $b$ .

restricting along  $\mathbb{C}P^\infty \times \text{pt} \xrightarrow{i_1} \mathbb{C}P^\infty \times \mathbb{C}P^\infty$ :

$$i_1^* L_2^{\text{tot}} \cong \underline{\mathbb{C}} \text{ \& } i_1^* L_1^{\text{tot}} = L_{\text{tot}}, \text{ so } i_1^*(L_1^{\text{tot}} \otimes L_2^{\text{tot}}) \cong L_{\text{tot}}$$

and  $i_2^*: H^2(\mathbb{C}P^\infty \times \mathbb{C}P^\infty) \rightarrow H^2(\mathbb{C}P^\infty)$ .

$$h_2 \longleftarrow \longrightarrow h$$

$$h_2 \longleftarrow \longrightarrow 0.$$

$$\text{so } i_1^* c_2(L_1^{\text{tot}} \otimes L_2^{\text{tot}}) = i_1^*(ah_1 + bh_2) = ah$$

$$\uparrow a = -1.$$

$$c_2(i_1^*(L_1^{\text{tot}} \otimes L_2^{\text{tot}})) = c_2(L_{\text{tot}}) = -h.$$

similarly, restricting along  $\text{pt} \times \mathbb{C}P^\infty \xrightarrow{i_2} \mathbb{C}P^\infty \times \mathbb{C}P^\infty$  by analogy  $\Rightarrow b = -1$  as desired.  $\square$ .

Rule:  $\{\text{complex line bundles } X, \otimes\}$  form a group (identity element:  $\underline{\mathbb{C}}$ , and inverse of  $L$  is  $L^* := \text{Hom}_{\mathbb{C}}(L, \underline{\mathbb{C}})$ . exercise: verify that  $L^* \otimes L \cong \underline{\mathbb{C}}$ ).

So:  $c_1: \{\text{line bundles}, \otimes\} \rightarrow H^2(X; \mathbb{Z})$  is a group homomorphism.

In fact:  $c_1$  induces an isomorphism  $(\text{Vect}_{\mathbb{C}}^1(X), \otimes) \xrightarrow{\cong} H^2(X; \mathbb{Z})$ , complete invariant!

We won't prove this right now, one way to see it is to understand that  $\mathbb{C}P^{\infty} = BU(\mathbb{Z})$  is the

Eilenberg-MacLane space  $K(\mathbb{Z}, 2)$ ; maps  $[X, \mathbb{C}P^{\infty} = BU(\mathbb{Z}) = K(\mathbb{Z}, 2)] \xrightarrow{\cong} H^2(X; \mathbb{Z})$

$$[f] \longmapsto f^*(h).$$

(More generally,  $\exists K(A, n)$ , & classes  $\alpha \in H^n(K(A; n); A)$ ,

int.  $[X, K(A, n)] \xrightarrow{\cong} H^n(X; A)$  (nice paper topic!)

$$[f] \longmapsto f^* \alpha.$$

↙ for cplx. vec. bundles      ↘ for real vec. bundles

### Higher Chern and Stiefel-Whitney classes in general

There is a completely axiomatic characterization of Chern + Stiefel-Whitney classes which we now describe:

Thm: (Stiefel-Whitney classes):  $\exists$  unique characteristic classes  $w_i$  of real-vector bundles,  $i \geq 1$ , w/  $w_i(E) \in H^i(B; \mathbb{Z}/2)$  for  $\begin{matrix} E \\ \downarrow \\ B \end{matrix}$  (vec. bundle of any rank) depending only on the iso. type of  $E$  (so  $w_i: \text{Vect}_{\mathbb{R}}^R(B) \rightarrow H^i(B; \mathbb{Z}/2)$ )

satisfying:

(a) (naturality):  $w_i$  are char. classes, i.e.,  $w_i(f^*E) = f^* w_i(E)$  any  $f: A \rightarrow B$ .

(b) (Whitney sum formula) ↙  $w_0(E)$  by convention.

Denoting by  $W(E) = 1 + w_1(E) + w_2(E) + \dots \in H^*(B; \mathbb{Z}/2)$  the "total Stiefel-Whitney class"; (so part in degree  $i$  is  $w_i(E)$ )

$$\text{then } \boxed{W(E_1 \oplus E_2) = W(E_1) \cup W(E_2).}$$

(explicitly taking degree  $s$  parts of both sides:

$$W_s(E_1 \oplus E_2) = \sum_{\substack{i+j=s \\ i \geq 0 \\ j \geq 0}} w_i(E_1) \cup w_j(E_2).$$

i.e.,  $w_2(E_1 \oplus E_2) = w_2(E_1) + w_1(E_1) \cup w_1(E_2) + w_2(E_2)$ , etc.)

(c) (dimension)  $w_i(E) = 0$  for  $i > \text{rank}_{\mathbb{R}}(E)$ .

(d) (normalization)  $w_1(L_{\text{tangent}} \rightarrow \mathbb{R}P^{\infty})$  is the unique generator of  $H^*(\mathbb{R}P^{\infty}; \mathbb{Z}/2) \cong \mathbb{Z}/2$ .

(in fact declaring  $w_i(L_{\text{tot}} \rightarrow \mathbb{R}P^2) \neq 0$  is sufficient - exercise to see this follows (d).).

Thm: (Chern classes) :  $\exists$  unique characteristic classes  $c_i$  of cplx vector bundles,  $i \geq 1$ ,  
 w/  $c_i(E) \in H^{2i}(B; \mathbb{Z})$  for  $\begin{matrix} E \\ \downarrow \\ B \end{matrix}$  (vec. bundle of any rank) depending only on the iso. type of  $E$  (so  $w_i: \text{Vect}_k^{\mathbb{C}}(B) \rightarrow H^{2i}(B; \mathbb{Z})$  any  $k$ )

satisfying:

(a) (naturality) :  $c_i$  are char. classes, i.e.,  $c_i(f^*E) = f^*c_i(E)$  any  $f: A \rightarrow B$ .

(b) (Whitney sum formula)  $\leftarrow c_0(E)$  by convention

Denoting by  $c(E) = 1 + c_1(E) + c_2(E) + \dots \in H^*(B; \mathbb{Z})$  the "total Chern class",  
 (so part in degree  $2i$  is  $c_i(E)$ )

$$\text{then } \boxed{c(E_1 \oplus E_2) = c(E_1) \cup c(E_2)},$$

(as above can extract out explicit formulae for each  $c_i(E_1 \oplus E_2)$ )

(c) (dimension)  $c_i(E) = 0$  for  $i > \text{rank}_{\mathbb{C}}(E)$ .

(d) (normalization)  $c_1(L_{\text{tot}} \rightarrow \mathbb{C}P^{\infty})$  is the generator  $-h \in H^2(\mathbb{C}P^{\infty}; \mathbb{Z})$  where  $h$  is the canonical element specified above.

(as before, it would have sufficed to fix  $c_2(L_{\text{tot}} \rightarrow \mathbb{C}P^2)$ ),

Next time, we'll approach construction of Chern + Stiefel-Whitney classes

will take some time.

(many constructions in literature, we'll appeal to the Leray-Hirsch theorem, a tool for understanding

cohomology of fiber bundles  $F \rightarrow P$  in some circumstances; applied to  $\begin{matrix} P(E) \\ \downarrow \\ B \end{matrix}$  (real or cplx. fibrewise projection of  $E$ )

An observation:

• by naturality  $c_i(\underline{\mathbb{C}}^k) = 0$  for any  $k, i > 0$  (resp.  $w_i(\underline{\mathbb{R}}^k) = 0, i > 0$ )

so  $c(E \oplus \underline{\mathbb{C}}^k) = c(E) \cup c(\underline{\mathbb{C}}^k) = c(E) \cup (1) = c(E)$ .

i.e.,  $c_j(E \oplus \underline{\mathbb{C}}^k) = c_j(E)$ .

$\leftarrow c_0(\underline{\mathbb{C}}^k)$

so similarly  $w_j(E \oplus \underline{\mathbb{R}}^k) = w_j(E)$ .

3/17/2021

The Leray-Hirsch theorem is a tool for understanding cohomology of total spaces of certain fiber bundles, satisfying some hypotheses

Recall that if  $F \rightarrow E \xrightarrow{\pi} B$  is a fiber bundle, then  $\pi^*: H^*(B; R) \rightarrow H^*(E; R)$  is a ring map, equips  $H^*(E; R)$  w/ structure of a  $H^*(B; R)$ -module (  $b \in H^*(B; R)$  acts by  $b \cdot x := \pi^*(b) \cup x$  ).

Thm: (Leray-Hirsch theorem): Say  $F \xrightarrow{i} E \xrightarrow{\pi} B$  a fiber bundle,  $R$  ring s.t.

- (a)  $H^k(F; R)$  free & finitely generated over  $R$  for each  $k$ .
- (b) The restriction map  $i^*: H^*(E; R) \rightarrow H^*(F; R)$  is surjective.

Under the hypotheses of (a)+(b), we can choose a splitting  $c: H^*(F; R) \rightarrow H^*(E; R)$  (not induced by a map of spaces), i.e., for any basis  $\{\delta_j \in H^{k_j}(F; R)\}$  of  $H^*(F)$  as  $R$ -module

we obtain classes  $c_j := c(\delta_j) \in H^{k_j}(E; R)$  which restrict to the given basis  $\{\delta_j\}$ . Call such a collection  $\{c_j\}$  (or the map  $c$ ) a cohomology extension of the fiber.

Then, the map  $\Phi: H^*(B; R) \otimes_R H^*(F; R) \rightarrow H^*(E; R)$  depends on the choice of coh. extension of fiber.

$$\sum b_i \otimes \delta_j \longmapsto \sum \pi^*(b_i) \cup c_j$$

is an isomorphism (as  $H^*(B; R)$ -modules). "b\_i = c\_j" in terms of module action of  $H^*(B)$  on  $H^*(E)$ .

In other words, every  $c \in H^*(E; R)$  can be written uniquely as  $\sum \pi^*(a_j) \cup c_j$  for some unique  $a_j \in H^*(B; R)$ .

Proofs/examples:

- For a trivial fiber bundle  $E = B \times F$ , w/  $H^*(F; R)$  free & finitely generated, have  $E \xrightarrow{\pi} B$ , & the image of  $\pi_F^*: H^*(F) \rightarrow H^*(E)$  gives a splitting of  $i^*: H^*(E) \rightarrow H^*(F)$ . Hypotheses therefore apply, & can use  $c_j := \pi_F^*(\delta_j)$  for a given basis  $\{\delta_j\}$  of  $H^*(F)$ . L-H for these particular  $c_j$ 's is just Künneth. (Künneth: any  $c \in H^*(B \times F)$  can be written as  $\sum \pi^*(a_j) \cup \pi^*(\delta_j)$  ↑  $H^*(B)$     ↑  $H^*(F)$ )
- L-H is more general in a sense, as it allows other choices of  $c_j$  (but this can also be extracted from Künneth).

• unlike Künneth, L-H theorem does not assert that  $H^*(E) \cong H^*(B) \otimes H^*(F)$  as rings! This can be false. (all one gets is that  $H^*(B) \otimes H^*(F) \cong H^*(E)$  as  $H^*(B)$ -modules).

(alg. example:  $S = k[x, y]/x^5$ ,  $T = k[x, y]/y^2$ , no- there's an iso. of  $S$ -modules

$$k[x, y]/x^5, y^2 \cong S \otimes T \cong k[x, y]/x^5, y^2 - 1$$

$\begin{matrix} x & \xrightarrow{\quad} & x \\ y & \xrightarrow{\quad} & y \end{matrix}$

deg 3    deg 2    deg 3    deg 2

but not as rings! )

- Example where L-H theorem fails to apply:

Look at the Hopf bundle  $S^1 \rightarrow S^3 \xrightarrow{F} E$ . Then  $H^0(S^3)$  cannot surject on  $H^0(S^1)$  as a graded  $\mathbb{R}$ -module, b/c  $H^1(S^1) \cong \mathbb{R}$ , but  $H^1(S^3) = 0$ .

Proof of the Leray-Hirsch theorem, detailed sketch:

- Steps:
- (1) Prove for finite dimensional CW complexes  $B = B^n$
  - (2) Prove for all CW complexes  $B = \bigcup_{n \geq 0} B^n$
  - (3) Prove for all spaces by "CW-approximation" theorem.

meaning, prove theorem for all  $F \rightarrow E \rightarrow B$  satisfying hypotheses where  $B$  is finite-dim'l CW.

← a little sketchy.

← sketchiest part.

(1) For finite dim'l CW complexes, we'll induct on  $\dim(B)$ .

• true when  $B$  is 0-dim'l (b/c in this case  $E = \coprod_{x \in B^0} \{F_x\}$ )

In this case  $H^0(B) = H^0(B^0) = \prod_{x \in B^0} \mathbb{Z}\langle 1_x \rangle$ , and  $H^k(E) = \prod_{x \in B^0} H^k(F_x) \cong H^k(F) \otimes H^0(B^0)$  (check)

(exercise: spell out details)

- Say it's true for all  $(n-1)$ -dim'l CW complexes, and let

$$B = B^{(n-1)} \cup \bigcup_{\alpha \in A} e_n^\alpha \quad (\text{along } \varphi_\alpha^n: \partial e_n^\alpha \rightarrow B^{(n-1)}).$$

Have  $F \rightarrow E \rightarrow B$  satisfying hypotheses of L-H.



- Pick  $x_\alpha \in \text{int}(e_n^\alpha)$  for each  $\alpha$ , and let  $e_n^{\circ\alpha} := e_n^\alpha \setminus x_\alpha$ .

Let  $B' := B^{(n-1)} \cup \bigcup e_n^{\circ\alpha} \subseteq B$ , and denote by  $E|_{B'} := E'$

First observation:  $B'$  deformation retracts to  $B^{(n-1)}$  (by retracting each  $e_n^{\circ\alpha}$  to  $\partial e_n^\alpha$ ),

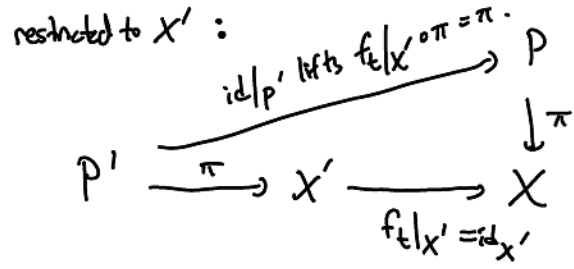
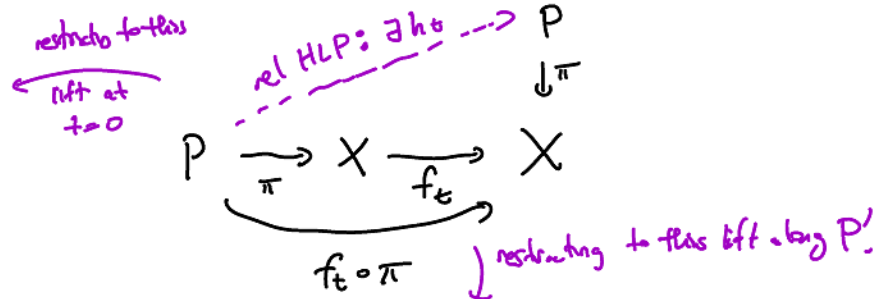
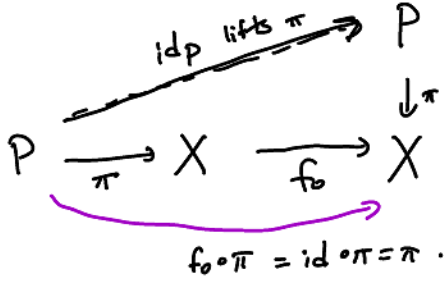
and we want to similarly deduce that  $E|_{B^{(n-1)}} \simeq E|_{B'}$  (hence induces iso. on coh. groups)

apply below lemma to  $X = B'$ ,  $X' = B^{(n-1)}$ :

Lemma: Given  $\pi: P \rightarrow X$  ( $X$  paracompact) fiber bundle, say  $X$  def. retracts to  $X' \subset X$ . Then  $P|_{X'} \subset P|_X$  is a homotopy equivalence.

Pf sketch: Let  $f_t: X \rightarrow X'$  be the def. retractor, i.e.,  $f_0 = \text{id}_X$ ,  $f_1(X) \subset X'$ ,  $f_t|_{X'} = \text{id}_{X'}$ .

Look at

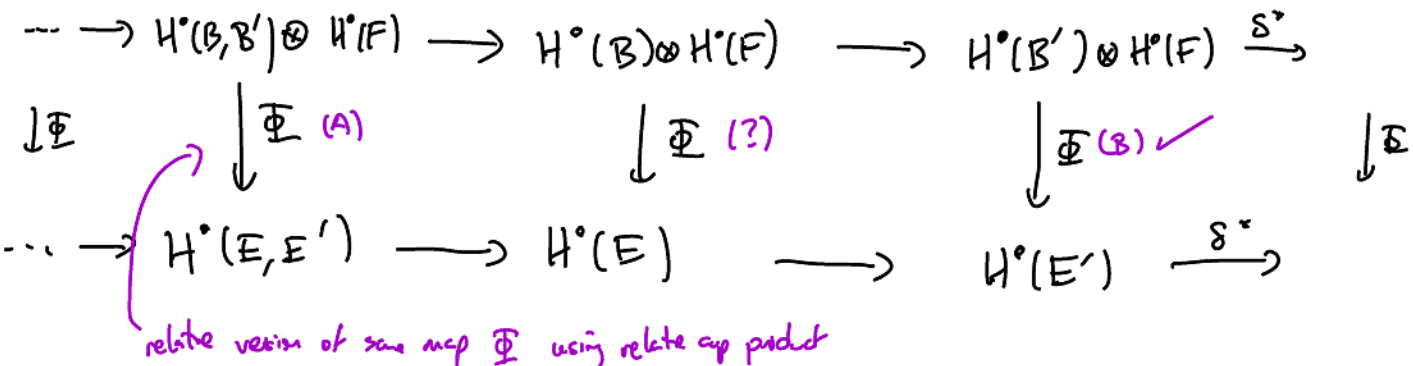


By relative homotopy lifting property, if we denote by  $g_t$  the map  $f_t \circ \pi : P \rightarrow X$ ,  $g_t$  admits a lift  $h_t : P \rightarrow P$  (i.e.,  $\pi \circ h_t = g_t = f_t \circ \pi$ ) agreeing w/ given lift  $\text{id}_P$  at time 0 and w/ fixed lift  $\text{id}_{P'}$  for all time when restricted to  $P'$ .

Check:  $h_t$  provides homotopy between  $\text{id}_P$  and  $P \xrightarrow{h_1} P' \xrightarrow{\text{incl}} P$ , ~~id~~ & since  $h_1|_{P'} = \text{id}_{P'}$ , re:  $P' \xrightarrow{\text{incl}} P \xrightarrow{h_1} P$ ,  $h_1$  &  $\text{incl}$  are homotopy inverse  $\mathbb{B}$ .

( $R$  implicit)

Consider the following commutative diagram (using a fixed cohomology extension of the fiber) of LES's:



$$H^0(\underbrace{B, B'}_{\pi^*(\text{class in } H^0(B, B'))}) \otimes_R H^0(\underbrace{E}_{C_j}) \rightarrow H^0(E, E')$$

hypothesis of L.H.

(top seq. is exact b/c it was LES for pair  $(B, B') \otimes$  a free module  $H^0(F)$ ).

(bottom seq. is LES of  $(E, E')$ )

exercise: check it's commutative. ( $\Phi$  is natural, & check compat. w/  $\delta^*$  above)

If (A) & (B) are isomorphisms, then (?) will be too, by 5 lemma.

The map (B) is also, by induction, because:



$$\begin{array}{ccc}
 H^0(B^{(n-1)}) \otimes H^0(F) & \xrightarrow{\cong} & H^0(B') \otimes H^0(F) \\
 \text{by induction } \Downarrow \Phi & \cup & \downarrow \Phi \leftarrow \text{therefore this map is an } \cong \\
 H^0(E|_{B^{(n-1)}}) & \xleftarrow[\text{(lemma above)}]{\cong} & H^0(E') \otimes H^0(F)
 \end{array}$$

Suffices to check (A) is an iso. By fiber bundle property,  $\exists$  open  $U_\alpha$  with  $\text{int}(e_\alpha)$  of  $x_\alpha$  along which  $E|_{U_\alpha} \cong F \times U_\alpha$  a trivial fiber bundle.

Let  $U = \bigsqcup_\alpha U_\alpha$ , and let  $U' = U \cap B'$  (i.e.,  $U' = U - \bigcup x_\alpha$ ). so  $E|_{U'} \cong F \times U'$ .

$$\text{Exercise} \Rightarrow H^0(B, B') \cong H^0(U, U') (\cong H^0(\bigsqcup U_\alpha, \bigsqcup (U_\alpha - x_\alpha)))$$

$$\text{and } H^0(E, E') \cong H^0(E|_U, E|_{U'}) \cong H^0(U \times F, U' \times F).$$

Thus, (A) reduces to showing that

$$\Phi: H^0(U, U') \otimes_{\mathbb{R}} H^0(F) \rightarrow H^0(U \times F, U' \times F) \text{ is an iso.}$$

using LES of the pair  $(U, U')$  & 5 lemma, it suffices to show for any  $V$ , the map

$$\Phi: H^0(V) \otimes H^0(F) \rightarrow H^0(V \times F) \text{ is an iso. where } \Phi \text{ constructed using a coh. extension of fiber. (i.e., Leray-Hirsch for trivial bundles).}$$

Exercise: Prove L-H for trivial bundles. i.e.,  $E = V \times F$ ,  $H^k(F)$  free finitely gen &  $k \leq \dim F$ . Let

$c_j \in H^0(E)$  be any collection of classes restricting to a basis  $\{\delta_j\}$  of  $H^0(F)$ . Then prove

$$\text{that } H^0(V) \otimes H^0(F) \xrightarrow{\Phi} H^0(E) \text{ is an iso.}$$

$$a \otimes \delta_j \longmapsto \pi^*(a) \cup c_j.$$

(True by Künneth if one uses  $\hat{c}_j = \pi_F^* \delta_j$ . Prove for a gen  $c_j$  by relating this class to  $\hat{c}_j$ ).

(2) General CW complex  $B = \bigcup B^n$ . (sketch):

we know the inclusion  $B^n \subset B$  induces isos  $H^i(B; \mathbb{R}) \xrightarrow{\cong} H^i(B^n; \mathbb{R})$  for  $i < n$ .

Similarly, if  $F \rightarrow E \rightarrow B$  fiber bundle,

$$\text{Claim: } H^i(E; \mathbb{R}) \xrightarrow{\cong} H^i(E|_{B^n}; \mathbb{R}) \text{ for } i < n.$$

(this follows from the fact that  $(B, B^n)$  is "n-connected"

$\Rightarrow$  (b)  $(E, E|_{B^n})$  is "n-connected" too (by HLP)

means  $(\pi_i(B, B^n) = 0 \text{ for } i \leq n)$   
 i.e., any map  $(D^i, \partial D^i) \rightarrow (B, B^n)$   
 is homotopic thru maps  $(D^i, \partial D^i) \rightarrow (B, B^n)$   
 to a map into  $(B^n, B^n)$ .

$\Rightarrow$  (c) for any  $n$ -connected  $(X, X')$ ,  
 $H^i(X; \mathbb{R}) \cong H^i(X'; \mathbb{R})$  for all  
 $i < n$ .

(by a more general property: if  $f: X' \rightarrow X$  induces  
 an iso. on all  $\pi_i$  for  $i \leq n$ , then it induces an  $\cong$   
 on homology in degs  $i < n$  & surjection in  $i = n$ ; similarly  
 for cohomology by UCT. Hatcher Prop 4.21).

Using this, have

$$\begin{array}{ccc}
 H^0(B) \otimes H^0(F) & \xrightarrow{\quad} & H^0(B^n) \otimes H^0(F) \\
 \downarrow \Phi & & \downarrow \Phi_n \text{ (}\mathbb{B}^n \text{ finite CW complex)} \\
 H^0(E) & \xrightarrow{\quad} & H^0(E|_{B^n})
 \end{array}$$

$\uparrow$  iso in degree  $< n$  (under  $H^0(E) \rightarrow H^0(E|_{B^n})$ )  
 $\downarrow$  iso in degree  $< n$  (under  $H^0(B) \otimes H^0(F) \rightarrow H^0(B^n) \otimes H^0(F)$ )

$\Rightarrow$  For any  $i$  w/  $i < n$ , we deduce  
 $\Phi$  iso. in degree  $i$ .  
 But  $n$  was arbitrary,  $\therefore \Phi$  iso. in all  
 degrees.

(3) Given  $F \rightarrow E \rightarrow B$ . Use "CW approximation":

Thm: For any  $B$ ,  $\exists$  a CW cplx.  $A$  & a map  $f: A \rightarrow B$  which is a "weak homotopy equivalence"  
 (means:  $f$  induces iso. on homotopy groups).

$\Rightarrow$

$$\begin{array}{ccc}
 f^*E & \xrightarrow{\text{w.e.}} & E \\
 \downarrow & & \downarrow \\
 A & \xrightarrow{\text{w.e.}} & B
 \end{array}$$

is a fibration, and again (why? uses "LES of a fibration in homotopy groups" + 5 lemma)

Now, by general theory, weak equivalence induce  $\cong$  on cohomology and homology. (see aside above).  
 In particular,  $\{c_j\}$  pull back to classes in  $H^0(f^*E; \mathbb{R})$  restrict to a basis in each fibre, so  
 naturality of  $\Phi$  reduces L-H for  $E \rightarrow B$  to L-H for  $f^*E \rightarrow A$  (✓ by (2)):

$$\begin{array}{ccc}
 H^0(A) \otimes H^0(F) & \xleftarrow{\cong} & H^0(B) \otimes H^0(F) \\
 \downarrow \Phi & & \downarrow \Phi \leftarrow \text{therefore } \cong. \\
 H^0(f^*E) & \xleftarrow{\cong} & H^0(E)
 \end{array}$$

3/19/2021

Construction of Chern classes, using Leray-Hirsch theorem.

(Stiefel-Whitney classes — analogs)  
 indicated in red

$E \xrightarrow{\pi} B$  complex rank  $k$  vector bundle

(resp. real vec. bundle  $E \rightarrow B$ )

Form  $\mathbb{C}P(E)$  or  $\mathbb{P}(E)$  (when " $\mathbb{C}$ " implicit),  
 $\downarrow$   
 $B$

(analogously  $\mathbb{R}P(E) \rightarrow B$ , sometimes also  
 denoted  $\mathbb{P}(E)$  if  $\mathbb{R}$  is implicit).

"complex fibrewise projectivization of  $E$ ." This is an associated fibre bundle w/ fiber  $\mathbb{P}(\mathbb{C}^k) \cong \mathbb{C}P^{k-1}$ .  
 can construct either as  $(E \setminus \{0\}) / \mathbb{C}^*$  OR  $(\mathbb{C}\text{-Frame}(E))_{GL(k, \mathbb{C})} \times_{\mathbb{C}P^{k-1}}$

Each fiber  $P(E)_b \cong \mathbb{C}P(E_b) \cong (E_b \setminus \{0\})/\mathbb{C}^\times$ .  
complex vector space

There's a tautological line bundle over  $P(E_b)$  for each  $b \in B$  as usual:  $L_b^{\text{taut}} = \{(x, v) \mid x \in P(E_b), v \in x \text{ line}\}$   
 which assemble to give a tautological <sup>complex</sup> line bundle over  $P(E)$ :

$$L := \{(x, v) \mid x \in P(E) = \coprod_b P(E_b), v \in x\} \xrightarrow{L \rightarrow P(E)} L \rightarrow P(E)$$

$$= \{(b, y, v) \mid b \in B, y \in P(E_b), v \in y\}$$

$(x, v) \mapsto x$

So, there's a class  $h_p \in H^2(P(E); \mathbb{Z})$   $h_p := -c_2^{\text{old}}(L) \stackrel{\text{explicitly}}{=} f^*h$ , where  $f: P(E) \rightarrow \mathbb{C}P^\infty$  classifies  $L$  so  $f^*L_{\text{taut}} = L$ , and  $h \in H^2(\mathbb{C}P^\infty; \mathbb{Z})$  canon. generator.  
2nd Chern class as previously defined.

(in the real case, similarly have tautological real line bundle  $L \rightarrow (R)P(E)$ , inducing a class  $h_p = \omega_{\pm}^{\text{old}}(L) = f^*h \in H^2(P(E); \mathbb{Z}/2)$ , where  $f: P(E) \rightarrow (R)P^\infty$  classifies  $L$ ,  $h \in H^2((R)P^\infty; \mathbb{Z}/2)$  non-zero element.)  
( $\mathbb{Z}/2$  coeffs,  $\pm 1 = -1$ )

Now, consider  $\mathbb{1} = h_p^0, h_p, h_p^2, \dots, h_p^{k-1} \in H^0(P(E); \mathbb{Z})$ .  
(rank  $E = k$ )

Observe the restriction of  $L \rightarrow P(E)$  to a fiber  $P(E_b)$  is  $L_{\text{taut}} \rightarrow P(E_b) \cong L_{\text{taut}} \rightarrow \mathbb{C}P^{k-1}$ .

Therefore by naturality of  $c_2^{\text{old}}$ ,  $h_p$  restricts to  $-c_2^{\text{old}}(L_{\text{taut}} \rightarrow P(E_b)) = h \in H^2(\mathbb{C}P^{k-1}; \mathbb{Z})$ .

So  $\mathbb{1}, h_p, \dots, h_p^{k-1}$  restrict to  $\mathbb{1}, h, h^2, \dots, h^{k-1}$  the standard generators for  $H^*(\mathbb{C}P^{k-1}; \mathbb{Z})$  as a  $\mathbb{Z}$ -module. (Recall as a ring  $H^*(\mathbb{C}P^{k-1}) \cong \mathbb{Z}[h]/h^k$ , so  $H^{2i}(\mathbb{C}P^{k-1}) = \mathbb{Z}\langle h^i \rangle$   $0 \leq i \leq k-1$  &  $H^{\text{odd}}(\mathbb{C}P^{k-1}) = 0$  else.)

So in particular  $P(E) \rightarrow B$  satisfies hypotheses of Leray-Hirsch; it follows that

$\mathbb{1}, h_p, \dots, h_p^{k-1}$  generate  $H^*(P(E); \mathbb{Z})$  as a  $H^*(B; \mathbb{Z})$ -module.

(module action:  $\underset{H^*(B)}{b} \circ \underset{H^*(P(E))}{e} := \pi^*(b) \cup e$ ).

So every element  $e \in H^*(P(E))$  can be written as  $\sum_{j=0}^{k-1} \pi^*(b_j) \cup h_p^j$  for unique  $b_j \in H^*(B; \mathbb{Z})$ .  
 $H^{2k}(P(E); \mathbb{Z})$

Consider the element  $h_p^k$ . (note: if  $E \rightarrow B$  trivial bundle, then  $E = \mathbb{C}^k \times B$  so  $P(E) = \mathbb{C}P^{k-1} \times B$ , &  $L \rightarrow P(E)$  is  $\pi_{\mathbb{C}P^{k-1}}^*(L_{\text{taut}})$  where  $\pi_{\mathbb{C}P^{k-1}}: P(E) \rightarrow \mathbb{C}P^{k-1}$  exists when  $E$  is trivial. In that case  $h_p = \pi_{\mathbb{C}P^{k-1}}^* h$ , and  $h_p^k = \pi_{\mathbb{C}P^{k-1}}^*(h^k) \equiv 0$ ).

Leray-Hirsch  $\Rightarrow$  there exists a relation of the form

$$(*) h_p^k + \pi^*(a_1) \cup h_p^{k-1} + \dots + \pi^*(a_k) \cup h_p^0 = 0,$$

for unique classes  $a_i \in H^2(B; \mathbb{Z})$ ,  $a_2 \in H^4(B; \mathbb{Z})$ ,  $\dots \rightarrow a_k \in H^{2k}(B; \mathbb{Z})$ .

Def:  $c_i(E) := a_i$  as given above,  $\in H^{2i}(B; \mathbb{Z})$ .  $i$ -th Chern class.

(By convention  $c_0(E) = 1$ , coeff. of  $h_p^k$  in relation above; & note  $c_i(E) = 0$  for  $i > \text{rank}_{\mathbb{C}}(E)$ ).

Since  $h_p^k \equiv 0$  when  $E$  is trivial  $\Rightarrow$  each  $a_i$  hence  $c_i(E) = 0$ .

(real case: Have  $h_p \in H^2(\mathbb{R}P(E); \mathbb{Z}/2)$ . Leray-Hirsch using  $1, h_p, \dots, h_p^{k-1}$  applies, so  $\exists!$  classes  $a_i \in H^i(B; \mathbb{Z}/2)$  so that  $h_p^k + \pi^*(a_1) \cup h_p^{k-1} + \dots + \pi^*(a_k) \cup h_p^0 = 0$ .

$\Rightarrow$  define  $i$ -th Stiefel-Whitney class  $w_i(E) := a_i \in H^i(B; \mathbb{Z}/2)$ .

Properties: (real case parallel - exercise)

Naturality?

Say  $f: A \rightarrow B$  & consider  $f^*E$ .

Note that  $P(f^*E) = f^*P(E)$ , and we have a map

$$\begin{array}{ccc} f^*P(E) & \xrightarrow{f} & P(E) \\ \downarrow & & \downarrow \\ A & \xrightarrow{f} & B \end{array}, \quad \& \quad \begin{array}{ccc} L & = & f^*(L) \\ \downarrow & & \downarrow \\ P(f^*E) & & P(E) \end{array}$$

$\Rightarrow$  applying  $f^*$  to  $(*)$  gives in  $H^*(P(f^*E))$  the following relation:

$$h_p^k + \pi^*(f^*a_1) \cup h_p^{k-1} + \dots + \pi^*(f^*a_k) \cup h_p^0 = 0,$$

" $f^*h_p$ "

we conclude  $c_i(f^*E) = f^*a_i = f^*c_i(E)$ .  $\checkmark$

Does this recover the usual definition when  $k=1$ ?

$L \rightarrow B$  line bundle (complex), i.e.,  $L_b$  is 1-dim'l, and  $P(L_b)$  is a point.

i.e.,  $\pi: P(L) \xrightarrow{\cong} B$  is a homeomorphism w/ fibres  $\mathbb{C}P^0 = \text{point}$ .

And moreover the tautological bundle  $L_{\text{taut}} \rightarrow P(L)$  corresponds under homeo. (meaning  $\cong \pi^*$  of) to  $L \rightarrow B$  we started with.

$$\Rightarrow h_p := -c_2^{\text{old}}(L_{\text{taut}}) = -c_2^{\text{old}}(L) \in H^2(B; \mathbb{Z})$$

In  $H^*(P(E); \mathbb{Z})$ ,  $h_p^0 = 1$  is a basis for  $H^*(P(E); \mathbb{Z})$  as a  $H^*(B; \mathbb{Z})$  module.

So have a relationship  $h_p^1 + \pi^*(c_1^{\text{new}}(L)) \cup h_p^0 = 0$  for some class  $c_1^{\text{new}}(L) \in H^2(B; \mathbb{Z})$ .

$$\Rightarrow \pi^* c_3^{new}(L) = -h_p = c_3^{old}(L_{tot}) = \pi^* c_1^{old}(L)$$

$$\Rightarrow c_1^{new}(L) = c_1^{old}(L) \quad \checkmark$$

Whitney sum formula? (real case parallel again)

Say have  $E_1, E_2$  complex vector bundles over  $B$  of complex ranks  $k, l$  respectively.

Form  $E_1 \oplus E_2$ , which has sub-bundles  $E_1, E_2 \subseteq E_1 \oplus E_2$  whose fibres are complementary vector spaces,

inducing  $P(E_1), P(E_2) \hookrightarrow P(E_1 \oplus E_2)$  and  $P(E_1) \cap P(E_2) = \emptyset$ .

(if  $V_1, V_2$  complementary vector subspaces of  $V$  then  $IP(V_1) \cap IP(V_2)$  is empty in  $IP(V)$ )

$$\text{Let } U_1 = P(E_1 \oplus E_2) - P(E_1) \quad U_2 = P(E_1 \oplus E_2) - P(E_2)$$

$\uparrow$  claim: open set retracting onto  $P(E_2)$       +       $\uparrow$  open set retracting onto  $P(E_1)$ .

Why?  $CP^k \setminus CP^i$  retracts onto  $\{x_0, \dots, x_k\}$   $\uparrow$   $\{x_j = 0, \dots, x_i = 0\}$  into  $CP^{k-i-1}$   $\uparrow$   $\{x_0 = \dots = x_i = 0, x_{i+1}, \dots, x_k\}$

Also,  $L_{tot}$  on  $P(E_1 \oplus E_2)$  restricts to  $L_{tot}$  on each  $P(E_i)$ .

$$\Rightarrow h_{P(E_1 \oplus E_2)} \Big|_{P(E_i)} = h_{P(E_i)}$$

$$\text{Let } \omega_1 = \sum_{j=0}^k \pi^* c_j(E_1) \cup h_{P(E_1 \oplus E_2)}^{k-j} \quad \omega_2 = \sum_{j=0}^l \pi^* c_j(E_2) \cup h_{P(E_1 \oplus E_2)}^{l-j}$$

$\stackrel{\text{rank}(E_1)}{\rightarrow} k$        $\leftarrow$  using  $c_0(E_i) = 1$        $\rightarrow$   $\stackrel{\text{rank}(E_2)}{\rightarrow} l$

$$= h_{P(E_1 \oplus E_2)}^k + \pi^* c_1(E_1) \cup h_{P(E_1 \oplus E_2)}^{k-1} + \dots \quad = h_{P(E_1 \oplus E_2)}^l + \pi^* c_1(E_2) \cup h_{P(E_1 \oplus E_2)}^{l-1} + \dots$$

By definition,  $\omega_1|_{P(E_1)} \equiv 0$  ( $h_{P(E_1 \oplus E_2)}$  restricts to  $h_{P(E_1)}$ ); similarly  $\omega_2|_{P(E_2)} \equiv 0$ .

So,  $\omega_1$  induces a class  $\tilde{\omega}_1 \in H^{2k}(P(E_1 \oplus E_2), P(E_1)) \cong H^{2k}(P(E_1 \oplus E_2), U_2)$ .

Also,  $\omega_2$  induces a class  $\tilde{\omega}_2 \in H^{2l}(P(E_1 \oplus E_2), P(E_2))$

$$\cong H^{2l}(P(E_1 \oplus E_2), U_2)$$

Using the relative version of the cup product,  $\exists$  a com. diagram

$U_1 \cup U_2 = P(E_1 \oplus E_2)$   
 $(P(E_1) \cap P(E_2) = \emptyset)$   
 so this group is 0!

$$\begin{array}{ccc}
 H^{2k}(P(E_1 \oplus E_2), U_2) \times H^{2l}(P(E_1 \oplus E_2), U_1) & \xrightarrow{\cup} & H^{2k+2l}(P(E_1 \oplus E_2), U_1 \cup U_2) \\
 \downarrow \omega_1 & & \downarrow \omega_2 \\
 H^{2k}(P(E_1 \oplus E_2)) \times H^{2l}(P(E_1 \oplus E_2)) & \xrightarrow{\cup} & H^{2k+2l}(P(E_1 \oplus E_2)) \\
 \omega_1, \omega_2 & \xrightarrow{\quad \quad \quad} & \omega_1 \cup \omega_2 = ? \\
 & & \text{image of } \tilde{\omega}_1 \cup \tilde{\omega}_2 \\
 & & = 0,
 \end{array}$$

so  $\tilde{\omega}_1 \cup \tilde{\omega}_2 = 0$

So  $\omega_1 \cup \omega_2 = 0$ ; expanding this out we get a relation:

$$h_p^{k+l} + \dots = 0 \quad \text{coming from cupping } \omega_1 \cup \omega_2.$$

$$\text{coeff. of } h_p^{k+l-j} \text{ is } \sum \pi^*(c_i(E_1) \cup c_{j-i}(E_2)).$$

$$\Rightarrow c_j(E_1 \oplus E_2) = \sum_i c_i(E_1) \cup c_{j-i}(E_2) \quad \text{as desired. } \square$$